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龍資源有限公司
DRAGON MINING
LIMITED

DRAGON MINING LIMITED

龍資源有限公司*

(Incorporated in Western Australia with limited liability ACN 009 450 051)

(Stock Code: 1712)

CHANGE OF JOINT COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE AND WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND 8.17 OF THE LISTING RULES

RESIGNATION OF JOINT COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE

The board of directors (the “**Board**”) of Dragon Mining Limited 龍資源有限公司* (the “**Company**”) announces that Ms. Pauline Anne Collinson (“**Ms. Collinson**”) has tendered her resignation as the joint company secretary (the “**Company Secretary**”) and authorised representative (the “**Authorised Representative**”) of the Company under Rule 3.05 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Listing Rules**”) with effect from 16 December 2021. Ms. Collinson confirmed that she has no disagreement with the Board and there is no matter relating to her resignation which needs to be brought to the attention of the shareholders of the Company.

APPOINTMENT OF JOINT COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE AND WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND 8.17 OF THE LISTING RULES

The Company is a company incorporated in Western Australia and pursuant to the Australian Corporations Act 2001 (the “**Act**”), a public company must have at least one secretary and at least one of them must ordinarily reside in Australia. As Ms. Lau Tung Ni (“**Ms. Lau**”), the remaining joint Company Secretary of the Company following Ms. Collinson’s resignation, is not an ordinary resident in Australia, the Company is unable to satisfy the requirements under the Act without appointing a new company secretary.

The Board, having used its best endeavour to identify a suitable candidate, is pleased to announce that Mr. Hai-Young Lu (“**Mr. Lu**”) has been appointed in place of Ms. Collinson as the joint Company Secretary and Authorised Representative of the Company under Rule 3.05 of the Listing Rules with effect from 16 December 2021 (the “**Replacement**”).

Mr. Lu graduated from Bond University with a Bachelor of Commerce (Accounting & Finance Majors) and Bachelor of Laws (Specialisation in Commercial Law), and a Graduate Diploma in Legal Practice, in 2010, and is a member of the Law Society of New South Wales and is admitted to practise in the Supreme Court of New South Wales. He has been the company secretary and legal counsel of Tian An Australia Limited (“**TA Australia**”), a company listed on the Australian Securities Exchange (“**ASX**”) (ASX: TIA) since 2013, and assisted the chief executive officer of TA Australia in the management of the affairs of TA Australia, including but not limited to coordinating various acquisitions, equity fund raising and loan financing activities and liaising with major shareholders. Mr. Lu also oversees the company secretarial and corporate governance matters of TA Australia and provides and procures legal advice to TA Australia. In 2019, Mr. Lu has been appointed as the Chief Operating Officer of TA Australia where he is responsible for overseeing the day-to-day operations of TA Australia. Prior to joining TA Australia, Mr. Lu has been employed by various law firms between 2011 and 2013 as a lawyer including Piper Alderman, Brisbane, Queensland, and Ashurst, Brisbane, Queensland, and his responsibilities included advising clients on mergers and acquisitions, corporate restructuring, compliance and corporate governance matters under the listing rules of ASX and the Act.

Based on the working experience and professional qualifications of Mr. Lu and his ordinary residency in Australia, the Company is of the view that Mr. Lu is capable of discharging his duties as a joint Company Secretary of the Company and complying with the requirements under the Act. However, given that Mr. Lu does not possess the academic or professional qualifications as required under note 1 to Rule 3.28 of the Listing Rules, nor has he acquired sufficient experience and familiarity with the Listing Rules to handle the company secretarial matters of the Company on a sole basis, the appointment of Mr. Lu as the joint Company Secretary by the Company did not strictly comply with the requirements under Rules 3.28 and 8.17 of the Listing Rules.

Accordingly, the Company has applied for, and The Stock Exchange of Hong Kong Limited has granted, a waiver from strict compliance with the requirements under Rules 3.28 and 8.17 of the Listing Rules (the “**Waiver**”) for a period of three years from the date of the appointment of Mr. Lu as a joint Company Secretary of the Company, being 16 December 2021 up to 15 December 2024 (the “**Waiver Period**”) subject to the following conditions:

- (i) Mr. Lu will be assisted by Ms. Lau during the Waiver Period; and
- (ii) the Waiver could be revoked if there are material breaches of the Listing Rules by the Company.

Having considered (i) the professional qualification and working experience of Mr. Lu; and (ii) Ms. Lau’s familiarity in the affairs of the Company and her ability to provide assistance to Mr. Lu, the Board is of the view that the Replacement is beneficial to the Company and its shareholders as a whole.

Ms. Lau will remain as an alternate Authorised Representative of the Company (as alternate to Mr. Lu).

The Board would like to express its gratitude to Ms. Collinson for her contributions to the Company during her term of service and welcome Mr. Lu on his new appointment.

By Order of the Board
DRAGON MINING LIMITED
Arthur George Dew
Chairman

Hong Kong, 16 December 2021

As at the date of this announcement, the Board comprises Mr. Arthur George Dew as Chairman and Non-Executive Director (with Mr. Wong Tai Chun Mark as his Alternate); Mr. Brett Robert Smith as Chief Executive Officer and Executive Director; Ms. Lam Lai as Non-Executive Director; and Mr. Carlisle Caldwell Procter, Mr. Pak Wai Keung Martin and Mr. Poon Yan Wai as Independent Non-Executive Directors.

* *For identification purpose only*