

### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy): 31/10/2013

To: Hong Kong I	Exchanges a	nd Clearing	g Limited		
Name of Issuer Date Submitted			an Mining Limited hber 2013		
I. Movements in	Authorised S	Share Capit	al		
1. Ordinary Share	es				
(1) Stock code:	00159	Descrip	otion:	BROCKMA	N MINING
			No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of	of preceding	month	10,000,000,000	HK\$0.10	HK\$1,000,000,000.00
Increase/(decreas	e)		0		0
Balance at close of	of the month		10,000,000,000	HK\$0.10	HK\$1,000,000,000.00
(2) Stock code:		Descrip	otion:		_
			No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of	of preceding	month			
Increase/(decreas	e)				
Balance at close of	of the month				

Stock code : Des	scription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
3. Other Classes of Shares			
	scription :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
	No. of other classes of shares	(State	capital
Stock code : Des	No. of other classes of shares	(State	capital

## II. Movements in Issued Share Capital

	No. of ordin	ary shares	No of preference	No. of other classes		
	(1)	(2)	shares	of shares		
Balance at close of preceding month	7,894,482,131	<del>-</del> -				
Increase/ (decrease) during the month	0					
Balance at close of the month	7,894,482,131					

### III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (under Si Particulars of share	nare option t	venemes of the f	BB <b>u</b> C1)		No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date	Movement during the month			thereto	as at close of the month	
(dd/mm/yyyy) and —		1010 verment dari	ing the month		_	
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share Option	_					
Scheme Adopted	_					
at the SGM on	_					
14/08/2002,						
exercise price of						
HK\$1.164 per share	•					
Ordinary shares	0	0	0	0	0	7,500,000
(Note 1)		<u> </u>				
2. Share Option						
Scheme Adopted	-					
at the SGM on	-					
14/08/2002,	-					
exercise price of	-					
HK\$1.24 per share	=					
Ordinary shares	0	0	0	0	0	27,000,000
(Note 1)		- <u> </u>				
(11010-1)						
3. Share Option						
Scheme Adopted	-					
at the SGM on	-					
14/08/2002,	=					
exercise price of	-					
HK\$2.00 per share	-					
Ordinary shares	0	0	0	0	0	39,000,000
Ordinary snares	()	()	( )		U	19 (1111 (1111)

# III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share	mare option	belieffies of the	155401)		No. of new shares of	No. of new shares of	
option scheme					issuer issued during	issuer which may be	
including EGM				the month pursuant			
approval date		Movement duri	ng the month		thereto	as at close of the month	
(dd/mm/yyyy) and —					•		
class of shares	C	E	C 11 1	T 1			
issuable	Granted	Exercised	Cancelled	Lapsed	-		
4. Share Option							
Scheme Adopted							
at the SGM on							
14/08/2002,							
exercise price of							
HK\$0.72 per share	_						
Ordinary shares	0	0	0	0	0	83,400,000	
(Note 1)							
5. Share Option							
Scheme Adopted	_						
at the SGM on	_						
13/11/2012, exercise	_						
price of HK\$0.717 -	_						
HK\$0.967 per share	_						
Ordinary share	s 0	0	0	0	0	183,700,000	
$\frac{\text{Ordinary shares}}{(Note 1)}$						103,700,000	
(11010-1)							
6. Share Option	_						
Scheme Adopted							
at the SGM on	_						
13/11/2012, exercise							
price of HK\$0.717 -	_						
HK\$0.967 per share	0	0	0	0	0	153,200,000	
(Note 1)							
7							
( / / )							
shares							
(Note 1)							
8							
( / / )							
shares							
(Note 1)						-	
(1,000 1)		To	otal A. (Ordin	ary shares)	0		
		-		nce shares)			
				Other class)			
Total funds raised during	ng the month	from exercise of	•	J 22101 (1400)			
options (State currency		HOIII CACICISE C	1	0			
(State carrency	,						

For Main Board and GEM listed issuers Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer which issuer issued during the may be issued pursuant month pursuant thereto as at Currency of Nominal value at Exercised Nominal value thereto close of the Description of warrants nominal close of during the at close of the month (Date of expiry - dd/mm/yyyy) value preceding month month month 1. ASX Listed Options 3,000,000 0 3,000,000 15,000,000 Date of expiry: A\$ 0 (30/09/2014)Stock code (if listed) (ASX) WNIO Class of shares issuable (Note 1) Ordinary share A\$0.2 per ordinary share (Subject to adjustment) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) (13/12/2010) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (*Note 1*) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) / / Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) (Ordinary shares) Total B.

(Preference shares)

(Other class)

N/A N/A

Convertibles (i.e. Convertible into		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	outstanding	month	month	monu		
<u> </u>	•					
	•					
	. <u> </u>					
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy))	( / / )					
2.	:					
Stock code (if listed)		·				
Class of shares						
issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )					
3.	( / / )	_ \				
	•					
	•		\			
Stock code (if listed)	· - <u></u>					
Class of shares		<del></del>				
issuable (Note 1)						
Subscription price EGM approval date				\		
(if applicable)						
(dd/mm/yyyy)	( / / )					
4.	•					
	-					
	•					
Stock code (if listed)	· <u></u>					
Class of shares issuable ( <i>Note 1</i> )						
Subscription price						
EGM approval date					\	
(if applicable) (dd/mm/yyyy)	( / / )					
			Гotal С. (Ordin			
				ence shares)		
			(	Other class)		. \

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

than under Share Option Schemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. ( / / ) shares (Note 1)		
2.		
3		
Total D. (Ordinary shares)  (Preference shares)  (Other class)		

Ot	her Movements	in Issued Share Capital					
						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
							_
			Class of shares issuable (Note 1)		_		
2.	Open offer	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
					\		
			Class of shares issuable (Note 1)		_		
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
							-

For Main Board and GEM listed issuers

			For Ma	ın Bo	pard and GEM	
					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue					
		Class of shares issuable (Note 1)				
5.	Scrip dividend At price : State currency —	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Class of shares repurchased (Note 1)				
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	( / /	)		
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Class of shares redeemed (Note 1)				
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	( / /	)		
		EGM approval date: (dd/mm/yyyy)	( / /	)		
		Class of shares issuable (Note 1)				
8.	Consideration issue At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
		EGM approval date: (dd/mm/yyyy)	( / /	)	`	

For Main Board and GEM listed issuers

		For Mai	ın Bo	ard and GEM	
				No. of new	No. of new
				shares of	shares of issuer
				issuer issued	which may be
				during the	issued pursuant
				month	thereto as at
				pursuant	close of the
				thereto	month
Type of Issue					
	Class of shares issuable				
	(Note 1)				
		(	`		
9. Capital	Issue and allotment	( / /	)		
reorganisation	date: (dd/mm/yyyy)				
	FG) (	( / /	)		
	EGM approval date:	( / /	,		
	(dd/mm/yyyy)				
	Class of shares issuable				
	(Note 1)				
10. Other State	(1,0,0,1)				
	— Issue and allotment	$\mathcal{L}$	)		
(Please specify) At price currency	date: (dd/mm/yyyy)				
	2000 1 (2000 3333)				
	EGM approval date:	( / /	) \		
	(dd/mm/yyyy)				
	Total E.	(Ordinary	char	20)	
		Preference			- \
	(1	Othe)			-
		(Out	ci cia		- \

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	0
	(2)	
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	-	
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	-	
(These figures should be the same as the relevant figures under II above ("Movements in Iss	ued Sha	ure Capital").)

Remarks (if any):	
Submitted by:	Tricor Secretaries Limited
Title:	Branch Share Registrar
(Director, Sec	retary or other duly authorised officer)

#### Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet