

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 30/06/2018				
To : Hong Kong Exchanges a	nd Clea	ring Limited		
Name of Issuer	3rockma	an Mining Limited		
Date Submitted 6	S July 20	)18		
I. Movements in Authorised Share Capital				
1. Ordinary Shares				
(1) Stock code : <u>00159</u>	Descrip	tion :	BROCKMA	N MINING
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month	20,000,000,000	HK\$0.10	HK\$2,000,000,000.00
Increase/(decrease)	-	0		0
Balance at close of the month	l <u>-</u>	20,000,000,000	HK\$0.10	HK\$2,000,000,000.00
(2) Stock code :	Descrip	tion:		_
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month <sub>.</sub>			
Increase/(decrease)	-			
Balance at close of the month	l <u>-</u>			

2. Preference Shares	_			
Stock code :	Descript	ion : <u></u>		
		No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of pr	eceding month_			
Increase/(decrease)	_			
Balance at close of th	e month _			
3. Other Classes of S	hares			
Stock code :	Descript			
		No. of other classes of shares	Par value (State currency)	capital
Balance at close of pr	eceding month_			
Increase/(decrease)	_			
Balance at close of th	e month			
Total authorised share currency):	e capital at the er	nd of the month		000,000,000.00
II. Movements in Issu	ed Share Capital			
	No. of ordin	nary shares (2)	No of preference shares	ce No. of other classes of shares
Balance at close of preceding month	9,161,982,131			
Increase/ (decrease) during the month	0			
Balance at close of the month	9,161,982,131			

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of	Share Option	Schemes of	the issuer)		No of new shares of	No. of new shares of
share option						issuer which may be
scheme					the month pursuant	
including EGM	M	ovement duri	ing the month		thereto	thereto as at close of
approval date	IVIC	Jvernerit dari	ing the month		_	the month
(dd/mm/yyyy)						
and class of						
shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share Option						
Scheme Adopted						
at the SGM on						
13/11/2012, exercise	<u>!</u>					
price of HK\$0.124						
per share						
Ordinary shares						62,000,000
(Note 1)	<del></del>	<u> </u>				
,						
2. Share Option						
Scheme Adopted	_					
at the SGM on						
13/11/2012, exercise	<del></del>					
price of HK\$0.162	_					
per share	<del></del>					
Ordinary shares						3,000,000
(Note 1)						
(11010-1)						
3. Share Option						
Scheme Adopted	_					
at the SGM on						
27/04/2018, exercise						
price of HK\$0.124	<u>-</u>					
per share	_					
Ordinary shares	<del></del>					122 000 000
				- <del></del>		132,000,000
(Note 1)						
4. Ohana Oathaa						
4. Share Option						
Scheme Adopted						
at the SGM on						
27/04/2018, exercise	<u> </u>					
price of HK\$0.162	<u></u>					
per share	<u></u>					
Ordinary shares						13,500,000
(Note 1)						
		Total	A. (Ordinary		0	
			(Preference	e shares) _		
			(Oth	ner class) _		
Total funds raised duri		from exercis	se			
of options (State curre	ncy)			0		

Warra	ints to Issue Shares of the	e Issuer which	are to be Listed				
	iption of warrants of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1							
i	Stock code (if listed) Class of shares ssuable (Note 1) Subscription price						
[ (	EGM approval date (if applicable)						
2.	(dd/mm/yyyy)	( / /	)				
(	/ / ) Stock code (if listed)				·		
(	Class of shares ssuable (Note 1)			•			
	Subscription price		<u> </u>				
(	EGM approval date (if applicable) (dd/mm/yyyy)	( / /					
3.		, , ,					
					· -		_
( ;	/ / ) Stock code (if listed)						
(	Class of shares						
	<u></u>						
	Subscription price EGM approval date			. \	_		
(	(if applicable)	, , ,					
1 .		( / /	)				
4.							
-							
`	/ / )						-
	Stock code (if listed)						
	Class of shares ssuable (Note 1)						
	Subscription price			•			
	EGM approval date						
	(if applicable) (dd/mm/yyyy)	( / /	)			`	
`		, , ,	,		_		
			Total E	3. (Ordina	ary shares)		-
				(C	nce shares) Other class)		- \

Convertibles (i.e. Convertible	into Shares of th	e Issuer which	are to be Liste	ed)		
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>V</u> .						
	<u> </u>					
Charles de (if liete d)						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy))	( / / )					
2.	+					
	_\					
	_ \					
Stock code (if listed)			· <del></del>			-
Class of shares		<del></del>				
issuable (Note 1)						
Subscription price		$\overline{}$				
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )					
3.		_ \				
	<u></u>					
		·				
			$\overline{}$			
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price		<del></del>				
EGM approval date		<del></del> ,				
(if applicable)	, , , , , ,					
	( / / )					
4.	_					
	<del>_</del>					
Stock code (if listed)			· <del></del>		$\overline{}$	-
Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy)	( / / )					
			otal C. (Ordina	ary shares)		_
				ce shares)		_
			(O	ther class)		_

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Options (other than under Share Option Schemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.		
Ordinary shares (Note 1)		
2.		
2.		
Ordinary shares (Note 1)		
3		
shares (Note 1)		
Total D (Ordinary aboves)		
Total D. (Ordinary shares)		
(Preference shares)		
(Other class)		

Otl	ner Movements	in Issuec	d Share Capital					
	T(1						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue							
\				Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State currency	_ Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
								_
				Class of shares				
				issuable (Note 1)				
2.	Open offer	At price :	State currency	_ Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares issuable (Note 1)				
3.	Placing	At price :	State	_ Issue and allotment date : (dd/mm/yyyx)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				, ,,,,,,				
				Class of shares issuable (Note 1)				
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		

	Type of Issue			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	\	Class of shares			
		issuable (Note 1)			
5.	Scrip dividend At State currency	(			
		EGM approval date: ( / / (dd/mm/yyyy)	)		
					_
		Class of shares repurchased (Note 1)			
6.	Repurchase of shares	Cancellation date : ( / / (dd/mm/yyyy)	)		
		EGM approval date: ( / / (dd/mm/yyyy)	)		
					_
		Class of sharesredeemed (Note 1)			
7.	Redemption of shares	Redemption date: ( / / (dd/mm/yyyy)	)		
		EGM approval date: ( / / (dd/mm/yyyy)	)		
		Class of shares issuable (Note 1)	_		
8.	Consideration At State issue price : currency	issue and anothrent	)		
		EGM approval date: ( / / (dd/mm/yyyy)	)	·	

Type of Issue		No. of new shares of issuer which may be issued during the month pursuant thereto as at close of the month
9. Capital reorganisation	Class of shares issuable (Note 1)  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / ) (dd/mm/yyyy)	
10. Other (Please price: currency	Class of shares issuable (Note 1)  - Issue and allotment data: (dd/mm/nany)	
эрсону	date : (dd/mm/yyyy)  EGM approval date: ( / / ) (dd/mm/yyyy)  Total E. (Ordina share (Preference share (Other class)	es) es)

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1)	0
(2)	
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	
(These figures should be the same as the relevant figures under II above ("Movements in Capital").)	Issued Share

Remarks (if any):
Submitted by: Tricor Secretaries Limited
Title: Branch Share Registrar
(Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet