

### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 30/9/2013

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	China Financial International Investments Limited
Date Submitted	2/10/2013

#### I. Movements in Authorised Share Capital

1. Ordinary Shares			
(1) Stock code : 721 Descrip	Ordinary	Shares	
	No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)
Balance at close of preceding month	30,000,000,000	0.01	300,000,000.00
Increase/(decrease)			
Balance at close of the month	30,000,000,000	0.01	300,000,000.00
(2) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

#### 2. Preference Shares

Stock code : <u>N/A</u> Des	cription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

#### 3. Other Classes of Shares

Stock code :	N/A	_Descrip	tion :		
			No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of p	receding 1	nonth			
Increase/(decrease)					
Balance at close of the	ne month				

Total authorised share capital at the end of the month (HK\$) : \_\_\_\_\_300,000,000.00

## II. Movements in Issued Share Capital

	No. of ordina	ary shares	No of preference	No. of other classes
	(1)	(2)	shares	of shares
Balance at close of preceding month	4,659,834,030			
Increase/ (decrease) during the month				
Balance at close of the month	4,659,834,030			

# III. Details of Movements in Issued Share Capital

Share Options (under	Share Option	Schemes of the	Issuer)			
Particulars of share					No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement duri	ng the month		thereto	as at close of the month
(dd/mm/yyyy) and -						
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share option						
scheme						
Exercise price:						
HK\$0.05						
(15/1/2008)						
ordinary shares						800,000
						800,000
(Note 1)						
2. Share option						
scheme						
Exercise price:						
HK\$0.13						
(15/1/2008)						
ordinary shares						11,000,000
						11,000,000
(Note 1)						
3. Share option						
scheme						
Exercise price:						
HK\$0.445						
(15/1/2008)						
						10 000 000
ordinary shares						10,000,000
(Note 1)						
4.						
( / / )						
shares						
(Note 1)						
		T.	tol A (Oralia	owy charge)	0	
		10	otal A. (Ordin			
				nce shares)	N/A	
				Other class)	N/A	
Total funds raised d	uring the mo	nth from exerc	ise			
of options (HK\$)			0			
_						

Share Options (under Share Option Schemes of the Issuer)

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	during the at close	of the	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A				
( / / ) Stock code (if listed) Class of shares issuable ( <i>Note 1</i> ) Subscription price EGM approval date (if applicable)				
(dd/mm/yyyy)	( / / )			
2.				
( / / ) Stock code (if listed) Class of shares issuable ( <i>Note 1</i> ) Subscription price EGM approval date (if applicable)				
(dd/mm/yyyy)	( / / )			
3.				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)				
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / / )	-		
4.				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price				
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )	-		
	Tota	al B. (Ordinary shard (Preference shard (Other cla	es) N/A	-

C	·	1.1.1		For Main Board and GEM listed issuers			
Convertibles (i.e. Convertible Class and description 1. Convertible bond		Amount at close of preceding month	,	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
1. Convertible bond							
Stock code (if listed) Class of shares	HK\$ N/A	\$280,000,000		\$280,000,000		560,000,000	
issuable (Note 1)	Ordinary Share	<u>s</u>					
Subscription price EGM approval date	HK\$0.50						
(if applicable) (dd/mm/yyyy))	(16/12/2011)						
2.							
Stock code (if listed)							
Class of shares							
issuable ( <i>Note 1</i> ) Subscription price							
EGM approval date							
(if applicable) (dd/mm/yyyy)	( / / )						
3.							
Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price EGM approval date							
(if applicable) (dd/mm/yyyy)	( / / )						
4.							
Stock code (if listed)							
Class of shares							
issuable ( <i>Note 1</i> ) Subscription price							
EGM approval date							
(if applicable) (dd/mm/yyyy)	( / / )						
				inary shares) rence shares)	0 N/A	-	
				(Other class)	N/A N/A	-	
1							

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

	No. of new shares of issuer issued during	No. of new shares of issuer which may be
	the month pursuant	issued pursuant thereto
Full particulars including EGM approval date (dd/mm/yyyy),	thereto	as at close of the month
if applicable, and class of shares issuable:		
1. N/A		
( / / )		
shares (Note 1)		
2.		
( / / )		
shares (Note 1)		
shares (Note 1)		
3.		
5.		
( / / )		
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

	ner Movements in	Issued Share Capital				No. of new	No. of new
	Type of Issue					shares of issuer issued during the month pursuant thereto	shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable				
			(Note 1)		``		
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			(dd/mm/yyyy)			N/A	
			Class of shares issuable				
		At price. State	( <i>Note 1</i> ) Issue and allotment	( / /	)		
2.	Open offer	At price : State	date : (dd/mm/yyyy)				
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						N/A	
			Class of shares issuable ( <i>Note 1</i> )				
3.	Placing	At price : State		( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						N/A	
			Class of shares issuable ( <i>Note 1</i> )				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	

Other Movements in Issued Share Capital

		H	For Main Board and GEM listed issuers				
	Type of Issue				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
		Class of shares issuable (Note 1)					
5.	Scrip dividend At price : State currency ———	Issue and allotment date : (dd/mm/yyyy)	( / /				
		EGM approval date: (dd/mm/yyyy)	( / /	)	N/A		
		Class of shares repurchased ( <i>Note 1</i> )					
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	( / /	)			
		EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	. <u>.</u>	
		Class of shares redeemed ( <i>Note 1</i> )					
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	( / /	)			
		EGM approval date: (dd/mm/yyyy)	( / /	)	N/A		
		Class of shares issuable (Note 1)					
8.	Consideration issue At price : State	Issue and allotment date : (dd/mm/yyyy)	( / /	)			
		EGM approval date: (dd/mm/yyyy)	( / /	)	N/A		

				For Main Board	d and GEM lis	sted issuers
					No. of new	No. of new
					shares of	shares of
						issuer which
					during the	may be
					month	issued
					pursuant	pursuant
					thereto	thereto as at
	T CI					close of the
	Type of Issue					month
			Class of shares issua ( <i>Note 1</i> )	ble		
0	Conital			( / / )		
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	( / / )		
			EGM approval date:	( / / )		
			(dd/mm/yyyy)		N/A	
					1N/A	
			Class of shares issua	ble		
			(Note 1)			
10.	Other (Please specify)	At price : State currency	- Issue and allotment date : (dd/mm/yyyy)	( / / )		
			EGM approval date:	(//)		
			(dd/mm/yyyy)	· · · · ·	N/A	
			Total E.	(Ordinary shares	s) N/A	
				(Preference shares	·	-
				(Other class	·	-
						-

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	0
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)		

Submitted by: Li Chi Chung
Title: <u>Company Secretary</u> (Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.