

For the month ended (dd/mm/yyyy):

## Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clearing Limited						
Name of Issuer Date Submitted		China Fin	nancial International	Investments L	imited	
I. Movements in Author	orised S	Share Capit	al			
1. Ordinary Shares						
(1) Stock code :	721	Descrip	otion:	Ordinary	Shares	
			No. of ordinary shares	Par value (HK\$)	Authorised share capital (HK\$)	
Balance at close of pre	eceding	month	30,000,000,000	0.01	300,000,000.00	
Increase/(decrease)						
Balance at close of the	month		30,000,000,000	0.01	300,000,000.00	
(2) Stock code :	N/A	Descrip	otion :			
			No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of pre	eceding	month				
Increase/(decrease)						
Balance at close of the	month					

30/11/2015

2. Preference Share	es			For Main Board and GEM
Stock code:	N/A Descri	ription :		
		No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of	f preceding month			
Increase/(decrease)	)			
Balance at close of	f the month			
3. Other Classes of	f Shares			
Stock code:	N/A Descri	ription:		
		No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of	f preceding month			

Total authorised share capital at the end of the month (HK\$): 300,000,000.00

## II. Movements in Issued Share Capital

Increase/(decrease)

Balance at close of the month

	No. of ordir	nary shares	No of preference	No. of other classes
	(1)	(2)	shares	of shares
Balance at close of preceding month	5,257,634,030			
Increase/ (decrease) during the month	3,900,000,000			
Balance at close of the month	9,157,634,030			

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM	Share Option	selections of the	199401)		No. of new shares of issuer issued during the month pursuant	No. of new shares of issuer which may be issued pursuant thereto
approval date (dd/mm/yyyy) and -		Movement duri	ng the month		thereto	as at close of the month
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share option						
scheme						
Exercise price:						
HK\$0.425						
(15/1/2008)						
ordinary shares						18,700,000
(Note 1)						
2.						
( / / ) shares						
(Note 1)						
3.						
( / / ) shares (Note 1)						
(11016-1)						
4.						
( / / ) shares (Note 1)						
		To	otal A. (Ordin			
				nce shares)		
				Other class)	N/A	
Total funds raised d of options (HK\$)	uring the mo	onth from exerc	vise N/A			

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nomina nominal clos	l value at Exse of du	xercised uring the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)  2.	( / / )					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
3.						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.	( / / )					
7.						
EGM approval date (if applicable)	( / / )					
		Total B.	(Prefere	nary shares) ence shares) Other class)	N/A N/A N/A	- - -

	s and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	N/A						
	Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy))	( / / )					
2.							
	Stock code (if listed)						
	issuable (Note 1)						
	Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
3.							
	Stock code (if listed) Class of shares issuable (Note 1)						
	Subscription price EGM approval date (if applicable)						
	(dd/mm/yyyy)	( / / )					
4.							
	Stock code (if listed) Class of shares issuable (Note 1) Subscription price		<u> </u>				
	EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
				Total C. (Ordin	nary shares) ence shares)	N/A N/A	-
					Other class)		•
Ì				`	´ <del></del>		•

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

than under Share Option Schemes)		
Full particulars including EGM approval date (dd/mm/yyyy),	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
if applicable, and class of shares issuable:  1. Proposed issue of up to 400,000,000 new shares at exercise price of		
HK\$0.48 each pursuant to the exercise of options granted to United Truth Group Limited and GCA Special Situations (A) Limited. For details, please refer to the Company's announcements dated 7 April 2014 and 3 June 2014, and the circular of the Company dated 6 June 2014.		
SGM 27/06/2014		
Ordinary shares (Note 1)		400,000,000
2. Subscription of an aggregate of 3,900,000,000 new shares of the Company at the subscription price of HK\$0.20 each to Excel Gainer International Limited and Hong Rui Holdings Limited. Please refer to the Company's announcements dated 3 September 2015, 10 November 2015 and 13 November 2015 and the circular of the Company dated 2 October 2015 for details.		
SGM 20/10/2015		
Ordinary shares (Note 1)	3,900,000,000	
3. Proposed subscription of 1,800,000,000 new shares of the Company at the subscription price of HK\$0.20 to the second subscriber, Ruixin Taifu Investment Group Co., Ltd. Please refer to the Company's announcements dated 3 September 2015, 13 November 2015 and 17 November 2015 and the circular of the Company dated 2 October 2015 for details.		
SGM 20/10/2015		
Ordinary shares (Note 1)		1,800,000,000
Total D. (Ordinary shares)	3,900,000,000	
(Preference shares)	N/A	
(Other class)	N/A	

Otl	her Movements i	n Issued Share Capital					
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue						IIIOIIIII
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						N/A	
			Class of shares issuable (Note 1)				
2.	Open offer	At price : State	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			(dd/iiiii/yyyy)			N/A	
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date: (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						N/A	
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)	<b>N</b> T/A	
			(dd/mm/yyyy)			N/A	

For Main Board and GEM listed issuers

pursuant pu thereto thereto clo				J	roi wani	Боаг	No. of new shares of issuer issued during the	No. of new shares of issuer which may be
Class of shares issuable		Time of lague					pursuant	issued pursuant thereto as at close of the month
5. Scrip dividend		Type of issue						monui
Class of shares repurchased (Note 1)	5.	Scrip dividend	At price : State currency ———	Issue and allotment	( / /	)		
Class of shares				EGM approval date: (dd/mm/yyyy)	( / /	)	NT/A	
Cancellation date : ( / / )							N/A	
Shares								
Class of shares redeemed (Note 1)  7. Redemption of shares (dd/mm/yyyy)  Fight approval date: (// )  Class of shares (// )  Redemption date: (// )  EGM approval date: (// )  N/A   Class of shares issuable (// )  N/A   Class of shares issuable (// )  EGM approval date: (dd/mm/yyyy)  State currency Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	6.				( / /	)		
Class of shares redeemed (Note 1)  7. Redemption of shares ( / / ) shares  Redemption date: ( / / ) (dd/mm/yyyy)  EGM approval date: ( / / ) (dd/mm/yyyy)  N/A  Class of shares issuable ( / / ) (Note 1)  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )  EGM approval date: ( / / )				EGM approval date: (dd/mm/yyyy)	( / /	)		
redeemed (Note 1)  7. Redemption of shares   Redemption date: ( / / )  (dd/mm/yyyy)  EGM approval date: ( / / )  N/A   Class of shares issuable							N/A	
Shares  (dd/mm/yyyy)  EGM approval date: ( / / )  (dd/mm/yyyy)  N/A  Class of shares issuable (Note 1)  8. Consideration issue At price: State currency Issue and allotment date: (dd/mm/yyyy)  EGM approval date: ( / / )  (dd/mm/yyyy)								
Class of shares issuable	7.	Redemption of shares		Redemption date : (dd/mm/yyyy)	( / /	)		
Class of shares issuable  (Note 1)  8. Consideration issue At price: State Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)				EGM approval date: (dd/mm/yyyy)	( / /	)	NI/A	
8. Consideration issue At price: State currency — Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (/// ) (dd/mm/yyyy)							N/A	
8. Consideration issue At price: currency date: (dd/mm/yyyy)  EGM approval date: (/// ) (dd/mm/yyyy)								
(dd/mm/yyyy)	8.	Consideration issue			( / /	)		
<u>N/A</u>				EGM approval date: (dd/mm/yyyy)	( / /	)	X7/ ·	
							<u>N/A</u>	-

			For Main l		and GEM lis	
					No. of new	No. of new
					shares of	shares of
				1	issuer issued	
					during the	may be
					month	issued
					pursuant	pursuant
					thereto	thereto as at
						close of the
	Type of Issue					month
		Class of shares issuable				
		(Note 1)				
		(Ivoic I)				
9.	Capital	Issue and allotment	( / /	)		
	reorganisation	date: (dd/mm/yyyy)				
		33337		,		
		EGM approval date:	( / /	)		
		(dd/mm/yyyy)				
					N/A	
		C1				
		Class of shares issuable				
		(Note 1)				
10.	Other (Please specific) At price: State	Issue and alletonant	( / /	)		
	(Please specify) At price currency	Issue and allotment	( ' '	,		
		date: (dd/mm/yyyy)				
		EGM approval date:	( / /	)		
		(dd/mm/yyyy)			N/A	
		(dd/IIIII/JJJJ)			14/11	
		Total E. (	Ordinary s	hares)	) N/A	
		(Pı	reference s	hares)	N/A	
			(Other	class)	N/A	•
				,	·	
To	otal increase / (decrease) in ordinary shares during th	e month (i.e. Total of A to	E):	(1)	3 900	,000,000
	····· (	(	_,.			,000,000
				(2)	)]	N/A
Т	otal increase / (decrease) in preference shares during	the month (i.e. Total of A t	to E):		1	N/A
	otal increase / (decrease) in other classes of shares du	•	ŕ		-	
	these figures should be the same as the relevant figur	`	ŕ	sued (		N/A
(I	nese jizares snoutu ve ine same as ine retevant jigar	es unuer 11 above ( Mover	mems in IS	sucu .	эниге Сирнин	1.1

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Remarks (if any):
Submitted by: Li Chi Chung
Title: Company Secretary (Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.