

For the month ended

## Monthly Return of Equity Issuer on Movements in Securities

(dd/mm/yyyy) :		30 / 09 / 2016		
To : Hong Kong Exchanges a	nd Clea	ring Limited		
——————————————————————————————————————	COSCO 4 Octobe	SHIPPING Ports er 2016	Limited	
I. Movements in Authorised S	hare Ca	pital		
1. Ordinary Shares				
(1) Stock code :1199	Descrip	tion :		
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month	4,000,000,000	HK\$0.10	HK\$400,000,000.00
Increase/(decrease)	-	Nil		Nil
Balance at close of the month	١ .	4,000,000,000	HK\$0.10	HK\$400,000,000.00
(2) Stock code : N/A	Descrip	tion :		
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month			
Increase/(decrease)				
Balance at close of the month	n .			

2. Preference Shares				
Stock code : N/A	Descript	ion :		
		No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of pr	eceding month_			
Increase/(decrease)	_			
Balance at close of th	e month _			
3. Other Classes of S	hares			
Stock code : N/A	Descript	ion :		
		No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of pr	eceding month_			
Increase/(decrease)	_			
Balance at close of th	e month _			
Total authorised share currency):	e capital at the er	nd of the month	(State HK\$	400,000,000.00
II. Movements in Issu	ed Share Capital	ı		
	No. of ordin	•	No of preference	
	(1)	(2)	shares	classes of shares
Balance at close of preceding month	2,969,574,635	N/A	N/A	N/A
Increase/ (decrease) during the month	<u>Nil</u>	N/A	N/A	N/A
Balance at close of the month	2,969,574,635	N/A	N/A	N/A

Share Options (under Share Option Schemes of the Issuer) No. of new shares No. of new shares of Particulars of share option of issuer issued issuer which may be during the month issued pursuant scheme pursuant thereto thereto as at close of including EGM Movement during the month approval date the month (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1. Share Option Scheme Exercise Price: HK\$19.30 (23/05/2003) Nil Ordinary share Nil Nil Nil Nil 9,970,000 (Note 1) shares (Note 1) shares (Note 1) Total A. (Ordinary shares) Nil (Preference shares) N/A N/A (Other class) Total funds raised during the month from exercise of options (State currency) N/A

Description of warrants (Date of expiry - dd/mm/yyyy)  1. N/A	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.						
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.		)				
Subscription price EGM approval date (if applicable)		)				
4.	( / /	,				
Subscription price EGM approval date (if applicable)						
		Total E		ary shares)	N/A	_
			•	ce shares) other class)	N/A N/A	-

Convertibles (i.e. Convertible	e into Shares of th	e Issuer whic	h are to be Liste	ed)	No. of new shares of	No. of new shares of
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	issuer issued during the month pursuant thereto	issuer which may be issued pursuant thereto as at close of the month
1. N/A						
	<u>—</u>					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy))	( / / )					
2.	( , , , ,					
	<u> </u>					
O(++1+++1+ ('(1'+1++1)						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )					
3.	( , , , ,					
_	<del></del>					
	<u> </u>					
O(++1+++1+ ('(1'+1++1)	<u> </u>					
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )					
4.						
	<u> </u>					
	<u></u>					
Stock and /if lintad						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(ii applicable) (dd/mm/yyyy)	( / / )					
	, ,		otal C. (Ordina		N/A	_
				ce shares)	N/A	=
1			(0	ther class)	N/A	

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A		
( / / )		
shares (Note 1)		
2.		
shares (Note 1)		
Silaios (Poto 1)		
3		
shares (Note 1)		
Total D. (Outling and about a	N1/A	
Total D. (Ordinary shares) (Preference shares)	N/A N/A	
(Other class)	N/A	
(Other class)	1 1/7	

Ot	her Movements i	n Issued	Share Capital							
									No. of new shares of issuer issued during the month pursuant thereto	may be issued pursuant thereto as at close of the
	Type of Issue									month
				Class of shares issuable (Note 1)	_			<del></del>		
1.	Rights issue	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		N/A
				Class of shares issuable (Note 1)	_					
2.	Open offer	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		N/A
				Class of shares issuable (Note 1)	_					
3.	Placing	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		N/A
										IN/A
				Class of shares issuable (Note 1)	_					
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		NI/A
										N/A
				Class of shares issuable (Note 1)						
5.	Scrip dividend	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				AGM approval date: (dd/mm/yyyy)	(	/	/	)		<b>N</b> 1/A
1									-	N/A

								No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of d issuer which may be issued pursuant thereto as at close of the month
	Type of Issue								monun
				Class of shares repurchased (Note 1)					
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	( /	′ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	′ /	)		N/A
									14/73
				Class of shares redeemed (Note 1)					
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( /	′ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	′ /	)		N/A
				Class of shares issuable (Note 1)					
8.	Consideration issue	At price :	State currency —	 Issue and allotment date : (dd/mm/yyyy)	( /	′ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	′ /	)		N/A
				Class of shares issuable (Note 1)					
9.	Capital reorganisation			Issue and allotment date : (dd/mm/yyyy)	( /	′ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	′ /	)		N/A
									19/74
				Class of shares issuable (Note 1)					
10	. Other (Please specify)	At price :	State currency	 Issue and allotment date : (dd/mm/yyyy)	( /	′ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	′ /	)		N/A
				Total I	E.	(Ord			
				(Prefe				) <u>N/A</u>	<del>-</del> -

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1)	Nil
(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	N/A
(These figures should be the same as the relevant figures under II above ("Movements in Capital").)	Issued Share

Remarks (if any):
Submitted by: WONG Tin Yau, Kelvin
Title: Executive Director & Deputy Managing Director (Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.