



香港交易所

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 30 September 2013

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer First Tractor Company Limited

Date Submitted 4 October 2013

I. Movements in Authorised Share Capital

1. Ordinary Shares

(1) Stock code :	<u>38</u>	Description :	<u>H Shares</u>
	No. of ordinary shares	Par value	Authorised share capital
		<i>(State currency)</i>	<i>(State currency)</i>
Balance at close of preceding month	<u>401,990,000</u>	<u>RMB1</u>	<u>RMB 401,990,000</u>
Increase/(decrease)	<u>Nil</u>		<u>Nil</u>
Balance at close of the month	<u>401,990,000</u>	<u>RMB1</u>	<u>RMB 401,990,000</u>
(2) Stock code :	<u>N/A</u>	Description :	<u>A Shares</u>
	No. of ordinary shares	Par value	Authorised share capital
		<i>(State currency)</i>	<i>(State currency)</i>
Balance at close of preceding month	<u>593,910,000</u>	<u>RMB1</u>	<u>RMB 593,910,000</u>
Increase/(decrease)	<u>Nil</u>		<u>Nil</u>
Balance at close of the month	<u>593,910,000</u>	<u>RMB1</u>	<u>RMB 593,910,000</u>

2. Preference Shares

Stock code : _____	Description : _____			
		No. of preference shares	Par value <i>(State currency)</i>	Authorised share capital <i>(State currency)</i>
Balance at close of preceding month	_____	_____	_____	_____
Increase/(decrease)	_____	_____	_____	_____
Balance at close of the month	_____	_____	_____	_____

3. Other Classes of Shares

Stock code : _____	Description : _____			
		No. of other classes of shares	Par value <i>(RMB)</i>	Authorised share capital <i>(RMB)</i>
Balance at close of preceding month	_____	_____	_____	_____
Increase/(decrease)	_____	_____	_____	_____
Balance at close of the month	_____	_____	_____	_____

Total authorised share capital at the end
of the month *(RMB)*:

995,900,000

Warrants to Issue Shares of the Issuer which are to be Listed (Not Applicable)

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. _____ (/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /)	_____	_____	_____	_____	_____	_____
2. _____ (/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /)	_____	_____	_____	_____	_____	_____
3. _____ (/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /)	_____	_____	_____	_____	_____	_____
4. _____ (/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /)	_____	_____	_____	_____	_____	_____
				Total B. (Ordinary shares) _____ (Preference shares) _____ (Other class) _____	_____	_____

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes) (Not Applicable)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. _____ (/ /) _____ shares (<i>Note 1</i>)	_____	_____
2. _____ (/ /) _____ shares (<i>Note 1</i>)	_____	_____
3. _____ (/ /) _____ shares (<i>Note 1</i>)	_____	_____
Total D. (Ordinary shares)	_____	_____
(Preference shares)	_____	_____
(Other class)	_____	_____

Other Movements in Issued Share Capital

Type of Issue			No. of new shares of issuer	
			No. of new shares of issuer issued during the month pursuant thereto	which may be issued pursuant thereto as at close of the month
1. Rights issue	At price : State currency _____	Class of shares issuable (<i>Note 1</i>) _____		
		Issue and allotment date: (dd/mm/yyyy) (/ /)		
		EGM approval date: (dd/mm/yyyy) (/ /)	_____ Nil _____	_____ Nil _____
2. Open offer	At price : State currency _____	Class of shares issuable (<i>Note 1</i>) _____		
		Issue and allotment date: (dd/mm/yyyy) (/ /)		
		EGM approval date: (dd/mm/yyyy) (/ /)	_____ Nil _____	_____ Nil _____
3. Placing	At price : State currency _____	Class of shares issuable (<i>Note 1</i>) _____		
		Issue and allotment date: (dd/mm/yyyy) (/ /)		
		EGM approval date: (dd/mm/yyyy) (/ /)	_____ Nil _____	_____ Nil _____
4. Bonus issue		Class of shares issuable (<i>Note 1</i>) _____		
		Issue and allotment date: (dd/mm/yyyy) (/ /)		
		EGM approval date: (dd/mm/yyyy) (/ /)	_____ Nil _____	_____ Nil _____

5. Scrip	At price : State currency _____	Class of shares issuable (<i>Note 1</i>) _____			
dividend		Issue and allotment date: (dd/mm/yyyy) (/ /)			
		EGM approval date: (dd/mm/yyyy) (/ /)		Nil	Nil
6. Repurchase		Class of shares repurchased (<i>Note 1</i>) _____			
of shares		Cancellation date: (dd/mm/yyyy) (/ /)			
		EGM approval date: (dd/mm/yyyy) (/ /)		Nil	Nil
7. Redemption		Class of shares redeemed (<i>Note 1</i>) _____			
of shares		Redemption date: (dd/mm/yyyy) (/ /)			
		EGM approval date: (dd/mm/yyyy) (/ /)		Nil	Nil
8. Consideration	At price : State currency _____	Class of shares issuable (<i>Note 1</i>) _____			
issue		Issue and allotment date: (dd/mm/yyyy) (/ /)			
		EGM approval date: (dd/mm/yyyy) (/ /)		Nil	Nil
9. Capital		Class of shares issuable (<i>Note 1</i>) _____			
reorganisation		Issue and allotment date: (dd/mm/yyyy) (/ /)			
		EGM approval date: (dd/mm/yyyy) (/ /)		Nil	Nil

10. Other:	At price : State currency _____	Class of shares issuable (<i>Note 1</i>) _____			
(Please specify)		Issue and allotment date: (dd/mm/yyyy) (/ /)			
		EGM approval date: (dd/mm/yyyy) (/ /)	_____ Nil _____	_____ Nil _____	
		Total E.(Ordinary shares)	_____ N/A _____		
		(Preference shares)	_____ N/A _____		
		(Other class)	_____ N/A _____		

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	N/A
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
<i>(These figures should be the same as the relevant figures under II above (“Movements in Issued Share Capital”).)</i>		

