



**TIANLI**  
HOLDINGS GROUP LIMITED

**TIANLI HOLDINGS GROUP LIMITED**

**天利控股集團有限公司**

(Incorporated in the Cayman Islands with limited liability)  
(於開曼群島註冊成立之有限公司)

(Stock Code 股份代號: 117)

**ENVIRONMENTAL, SOCIAL AND  
GOVERNANCE REPORT**

**環境、社會及管治報告**

**2019**

# Environmental, Social and Governance Report

## 環境、社會及管治報告

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# Environmental, Social and Governance Report

## 環境、社會及管治報告

### I. ABOUT THIS REPORT

The board of directors (the “Board”) of Tianli Holdings Group Limited (the “Company”) is pleased to present this Environmental, Social and Governance (hereinafter called “ESG”) Report (the “Report”) of the Company and its subsidiaries (collectively as the “Group” or “we”). This ESG Report summarizes the policies, sustainability strategies, management approaches, initiatives and performance made by the Group in the environmental and social aspects of its business.

The ESG Report covers the environmental and sustainable development strategies and policies of the Group’s business in the manufacture and sale of Multi-layer Ceramic Chips (“MLCC”) and provision of investment and financial services for the year ended 31 December 2019. The Report discloses the required information under the “comply or explain” provisions of the ESG Reporting Guide set out in Appendix 27 to the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “Stock Exchange”). The relevant provisions and details are listed out at the end of the Report. Due to the uncertain global economic environment, the business under other general trading (other than MLCC product) has been significantly affected. In order to avoid the potential risk arisen from the unfavorable trading environment, the Group has suspended the trading activities in 2019. Thus, this report does not cover the other general trading business (other than MLCC product).

The Board is responsible for the Group’s ESG strategy formulation and reporting, evaluating and determining the Group’s ESG-related risks, and ensuring that appropriate and effective ESG risk management measures and internal control systems are in place. In order to determine the ESG reporting scopes, the key management personnel have discussed internally and identified the environmental, social and operating items; and assessed their importance to the stakeholders and the Group. The summary of material ESG items are listed out per Section III to this report.

### 一、關於本報告

天利控股集團有限公司（「本公司」）董事會（「董事會」）欣然發表本公司及其附屬公司（統稱「本集團」）之環境、社會及管治報告（「本報告」）。本報告總結了本集團所採用之環境、社會及管治政策、可持續發展策略、管理方法、措施及表現。

本報告涵蓋了本集團製造與銷售片式多層陶瓷電容器（「MLCC」）及提供投資與金融服務等業務截至2019年12月31日止年度的報告期間在環境及社會方面的可持續發展策略、方針與表現；並根據香港聯合交易所有限公司（「聯交所」）證券上市規則附錄27所載之《環境、社會及管治報告指引》當中之「遵守或解釋」條款而作出披露。相關之條款及內容載於本報告最末部分。由於本集團的其他一般貿易（買賣MLCC以外之商品）分部因全球經濟環境不明朗而受重大影響，為避免於不利貿易環境中的潛在風險，本集團已於2019年暫時停止貿易業務，因此本報告沒有涵蓋其他一般貿易（買賣MLCC以外之商品）業務。

董事會負責為本集團訂立環境、社會及管治策略及匯報，以及評估和釐定本集團之有關環境、社會及管治之風險，確保訂立合適及有效之環境、社會及管治風險管理措施及內部監控系統，並就這方面的表現作出匯報。為了釐定環境、社會及管治報告範圍，本集團與各管理層人員進行討論，並列出主要持份者與本集團所關心的環境、社會及管治項目，再評估雙方對各項目的重視程度；從而選擇出相對重要的環境及社會議題於本報告第III部分中作出相關披露。



# Environmental, Social and Governance Report

## 環境、社會及管治報告

### II. STAKEHOLDERS' ENGAGEMENT

The Group is committed to maintaining the sustainable development of its business and the environmental protection of the communities in which it operates. The Group maintains a close tie with its stakeholders, including government/regulatory organizations, shareholders/investors, employees, customers, suppliers, community, etc. and strives to balance their opinions and interests through constructive communications in order to determine the directions of its sustainable development. The Group assesses and determines our environmental, social and governance risks, and ensures that the relevant risk management measures and internal control systems are operating effectively. The following table shows the management response and communication channels to the stakeholders' expectations and concerns:

### 二、持份者參與

本集團致力維持業務的可持續發展，為環保及業務所在的社區提供支持。本集團與政府／監管組織、股東／投資者、員工、客戶、供應商、社區等持份者保持密切聯繫，力求透過建設性交流平衡意見與利益，從而確定本集團的可持續發展方向。本集團評估及釐定環境、社會及管治風險，確保相關風險管理及內部監察系統妥善及有效地運行。有關持份者的期望與要求、溝通渠道及管理層的回應如下表：

Stakeholders 持份者	Expectations and concerns 期望與要求	Communication channels 溝通渠道	Management response 管理層回應
<b>Government/ regulatory organizations</b> 政府／監管組織	<ul style="list-style-type: none"> <li>Compliance in laws and regulations 遵守法律法規</li> <li>Fulfill tax obligation 履行稅務責任</li> <li>Safety production 安全生產</li> </ul>	<ul style="list-style-type: none"> <li>Periodic reporting 定期申報</li> <li>Compliance inspection 合規檢查</li> </ul>	<ul style="list-style-type: none"> <li>Uphold integrity and compliance in operations 於營運中秉持誠信及合規</li> <li>Pay tax on time, and in return contributing to the society 按時繳稅以回饋社會</li> <li>Establish comprehensive and effective internal control system 建立全面有效的內部控制體系</li> <li>Fully implement the safety production responsibility system 全面推行安全生產責任制</li> </ul>

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Stakeholders 持份者	Expectations and concerns 期望與要求	Communication channels 溝通渠道	Management response 管理層回應
<b>Shareholders/investors</b> 股東／投資者	<ul style="list-style-type: none"> <li>Return on investment 投資回報</li> <li>Information transparency 資訊透明度</li> <li>Corporate governance system 公司管治制度</li> </ul>	<ul style="list-style-type: none"> <li>Information disclosed on the Stock Exchange's website 於聯交所網站披露之信息</li> <li>Company's official website 本公司網站</li> <li>Annual general meeting and other shareholders' meeting 股東週年大會及其他股東會議</li> </ul>	<ul style="list-style-type: none"> <li>Management possesses relevant experience and professional knowledge in business sustainability 管理層具有相關經驗和專業知識，確保業務的可持續性</li> <li>Ensure transparency and efficient communications published in websites of the Stock Exchange and the Company 透過於聯交所及本公司網站定期發放資訊，確保透明度及有效溝通</li> <li>Continuous improvement to internal control and focus on risk management 盡力改善內部監控及風險管理</li> </ul>
<b>Employees</b> 員工	<ul style="list-style-type: none"> <li>Labor rights 勞工權益</li> <li>Career development 事業發展</li> <li>Compensation and welfare 待遇和福利</li> <li>Health and safety 健康及安全</li> </ul>	<ul style="list-style-type: none"> <li>Employee activity 員工活動</li> <li>Performance appraisal 員工績效考核</li> <li>Induction training 入職培訓</li> <li>Internal meetings and announcements 內部會議及通告</li> </ul>	<ul style="list-style-type: none"> <li>Set up contractual obligations to protect labor rights 制定合約責任以保護勞工權益</li> <li>Encourage employees to participate in continuous education and professional trainings 鼓勵員工參與持續教育及專業培訓以提高能力</li> <li>Establish a fair, reasonable and competitive remuneration scheme 建立公平、合理和具競爭力的薪酬體系</li> <li>Improve occupational health and safety 注重職業健康及安全</li> </ul>
<b>Customers</b> 客戶	<ul style="list-style-type: none"> <li>High quality products and services 優質產品與服務</li> <li>Timely delivery 及時交貨</li> <li>Reasonable price 價格合理</li> </ul>	<ul style="list-style-type: none"> <li>Business visit 商務拜訪</li> <li>Email, phone and mobile application 電子郵件、電話及溝通應用程序</li> <li>Meeting 會議</li> <li>Customer satisfaction survey 客戶滿意度調查</li> </ul>	<ul style="list-style-type: none"> <li>Improve the quality of products and services continuously in order to maintain customer satisfaction 持續提供優質產品與服務以滿足客戶</li> <li>Establish an effective, efficient and green supply chain system 建立高效的綠色供應鏈</li> <li>Formulate comprehensive quality assurance process and recall procedures 制定全面的質量保證流程和召回程序</li> <li>Ensure proper contractual obligations are in place 確保履行合約責任</li> </ul>

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Stakeholders 持份者	Expectations and concerns 期望與要求	Communication channels 溝通渠道	Management response 管理層回應
<b>Suppliers</b> 供應商	<ul style="list-style-type: none"> <li>Stable demand 需求穩定</li> <li>Good relationship with the Company 與公司保持良好關係</li> <li>Corporate reputation 企業信譽</li> </ul>	<ul style="list-style-type: none"> <li>Business visit 商務拜訪</li> <li>Email, phone and mobile application 電子郵件、電話及溝通應用程式</li> <li>Meeting 會議</li> </ul>	<ul style="list-style-type: none"> <li>Ensure proper contractual obligations are in place 確保履行合約責任</li> <li>Establish policy and procedures in supply chain management 制定供應鏈管理的政策和程序</li> <li>Establish and maintain strong and long-term relationship with suppliers 保持長期的合作關係</li> <li>Select suppliers with due care 嚴謹篩選供應商</li> </ul>
<b>Community</b> 社區	<ul style="list-style-type: none"> <li>Environmental protection 環境保護</li> <li>Reduce greenhouse gas emission 減少溫室氣體的排放</li> <li>Reduce waste generation 減少廢物的產生</li> <li>Effective resource utilization 有效利用資源</li> <li>Community contribution 社區參與</li> <li>Economic development 經濟發展與社區就業</li> </ul>	<ul style="list-style-type: none"> <li>Company's official website 本公司網站</li> <li>Community activities 社區活動</li> </ul>	<ul style="list-style-type: none"> <li>Pay attention to the problem of climate change 關注氣候變化</li> <li>Continue to invest resources in environmental protection 持續於環境保護方面投入資源</li> <li>Actively innovate environmental protection technology 積極創新環保技術</li> <li>Strengthen energy saving and emission reduction management 加強節能減排管理</li> <li>Encourage employees to actively participate in charitable activities and voluntary services 鼓勵員工積極參與慈善活動和志願服務</li> <li>Maintain good and stable financial performance and business growth 確保良好的財務業績和業務增長</li> </ul>

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### III. MATERIALITY MATRIX

During the reporting period, the Group has evaluated a number of environmental, social and operating items, and assessed their importance to stakeholders and the Group continuously through various channels. This assessment helps to ensure that the Group's business objectives and development direction are in line with the stakeholders' expectations and requirements. The Group's and stakeholders' matters of concern are presented in the following materiality matrix:

### 三、重要性矩陣

於報告期內，本集團已評估多項涵蓋環境、社會及營運相關的事項，並透過不同渠道持續評估持份者與本集團對各項目的重視程度，此等評估有助本集團確保業務發展能符合持份者的期望與要求。而本集團和持份者所關心的事項均呈現於以下的重要性矩陣：

		Materiality Matrix 重要性矩陣		
		低 Low	中 Medium	高 High
Importance to Stakeholders 對持份者的重要性	High 高	<ul style="list-style-type: none"> <li>◆ Anti-discrimination 反歧視</li> <li>◆ Labor rights protection 保障人權</li> </ul>	<ul style="list-style-type: none"> <li>◆ Talent management 人才管理</li> <li>◆ Staff training and promotion opportunity 員工培訓與晉升</li> <li>◆ Staff compensation and welfare 員工報酬與福利</li> </ul>	<ul style="list-style-type: none"> <li>▶ Customers' satisfaction 客戶滿意度</li> <li>▶ Product quality and safety 產品質量與安全</li> <li>▶ Suppliers management 供應商管理</li> <li>◆ Occupational health and workplace safety 職業健康與安全</li> <li>◇ Application of clean production and green products 潔淨生產與綠色產品</li> </ul>
	Medium 中	<ul style="list-style-type: none"> <li>▶ Community contribution 社會參與</li> </ul>	<ul style="list-style-type: none"> <li>▶ Anti-corruption 反貪污</li> <li>◇ Greenhouse gas emissions 溫室氣體排放</li> <li>◇ Use of resources 能源使用</li> </ul>	<ul style="list-style-type: none"> <li>▶ Operational compliance 營運合規</li> <li>▶ Customers' privacy measures and protection 保護客戶私隱</li> <li>◇ Exhaust air emission 廢氣排放</li> <li>◇ Sewage discharge 廢水排放</li> </ul>
	Low 低	<ul style="list-style-type: none"> <li>◆ Preventive measures for child and forced labor 預防童工及強制勞工的措施</li> </ul>	<ul style="list-style-type: none"> <li>◇ Water resources utilization 水資源使用</li> <li>◇ Generation of non-hazardous wastes 無害廢物排放</li> </ul>	<ul style="list-style-type: none"> <li>◇ Use of raw materials 原材料使用</li> <li>◇ Generation of hazardous wastes 有害廢物排放</li> </ul>
		對本集團的重要性 Importance to the Group		
		◇ Environmental 環境方面	◆ Employee 員工方面	▶ Operation 營運方面

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### IV. ENVIRONMENTAL PROTECTION

The Group has always strictly complied with the national laws and regulations on environmental protection, including the “Law of the People’s Republic of China on Environmental Protection”, the “Law of the People’s Republic of China on the Prevention and Control of Atmospheric Pollution”, the “Law of the People’s Republic of China on the Prevention and Control of Water Pollution”, the “Law of the People’s Republic of China on the Prevention and Control of Environmental Pollution by Solid Waste”, the “Law of the People’s Republic of China on the Prevention and Control of Environmental Noise Pollution”, the “Energy Conservation Law of the People’s Republic of China”, and the requirements and standards of the local government. In order to let employees understand the importance of their impact on the environment, the Group set up various policies and measures, and take various actions with an aim to reduce carbon footprint, thereby reducing the adverse effects on the environment from the enterprise’s business activities and the employees’ personal life. The details are described in the sections “Management of Emissions” and “Management of Resources Utilization” below.

#### 1. Management of Emissions

##### *Investment and Financial Services Business*

The Group’s investment and financial services business does not involve any production activities. Its impact on the environment mainly comes from use of natural resources, generation of domestic wastes and discharge of domestic sewage in office operations. It does not involve any hazardous solid waste and wastewater. The use of natural resources (including gasoline, diesel and electricity) will generate air pollutants and greenhouse gas (please refer to the section “Management of Resources Utilization” below for details). Solid waste will be collected and disposed by property management company; and domestic sewage will be discharged directly to local sewage treatment plants through major pipelines.

### 四、環境保護

本集團一直嚴格遵守國家環境保護的法律法規，包括《中華人民共和國環境保護法》、《中華人民共和國大氣污染防治法》、《中華人民共和國水污染防治法》、《中華人民共和國固體廢物污染環境防治法》、《中華人民共和國環境噪聲污染防治法》、《中華人民共和國節約能源法》及當地政府的要求與標準。為了讓各個層面更加了解自己對環境影響的重要性，本集團不斷透過各種政策、措施和行動，以減少碳足跡，從而減低企業的營業活動與員工的個人生活對環境造成不良影響，有關資料將於下文「排放物的管理」與「資源使用的管理」部分中詳細描述。

#### 1. 排放物的管理

##### *投資與金融服務業務*

本集團的投資與金融服務業務並未涉及任何生產活動，對環境的影響主要來自辦公室營運中使用天然資源、產生生活垃圾及排放生活污水，當中並沒有涉及任何有害固體廢物及廢水。使用天然資源（包括汽油、柴油及電力）會產生空氣污染物及溫室氣體（詳細資料請參考下文「資源使用的管理」部分）；固體廢物由物業管理處負責收集及處置；而生活污水則通過城市排污管網排放至當地污水處理廠進行處理。



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### Manufacture and Sale of MLCC Business

The Group understands that the waste gas, wastewater, solid waste and noise generated in the manufacture and sale of MLCC business have impact on the environment. Therefore, the Group is committed to optimizing the environmental management practices of production plants, and establishing corporate culture that values environmental protection and energy conservation. The Group has obtained GB/T 24001-2016/ISO 14001:2015 environmental management system certification for a number of years, and developed a unique environmental management system by incorporating the environmental protection concept in its business strategies in order to prevent and control the adverse impact of its production on the environment, and at the same time, enhances the resources and energy utilization rates. The Group has established Environmental Quality Office to monitor and record the details of emission generated in production plants, and formulated "Monitor and Measure Management Procedures" to ensure the environmental management system can operate properly and comply with the requirements of GB/T 24001-2016/ISO 14001:2015 environmental management system. The Group regularly entrusts third party professional inspection company to check the pollutants from each discharge outlet in the production plants in accordance with the emission standards and methods of the local Ministry of Ecology and Environment. The inspection details, standards and results will be described in detail in the management of pollutants (waste gas, wastewater and noise) sections below.

In recent years, the Group has faced increasingly stringent environmental protection policies and standards. The Group evaluates the compliance with relevant laws, regulations and requirements related to environmental factors, hazards, etc. annually. In case of any non-compliance is found, the Group takes immediate remedial action, updates the internal policies and procedures timely and distribute them to all employees, provides staff trainings; so as to ensure that the Group's environmental policies are able to meet the national standards and fulfill its commitment on the compliance of the environmental and safety laws and regulations. During the reporting period, the Chuzhou plant of the Group received a notification of excessive emission of exhaust gas and the residents complained about the unpleasant odors of waste gas emitted from Chuzhou plant and therefore, the Group entrusted professional environment company to conduct assessment on the environmental protection equipment and facilities. The relevant optimization measures are described in detail in the section "Management of Air and Greenhouse Gases Emissions". For details on excessive emissions and improvement measures, please refer to the "Compliance" section below.

### 製造與銷售MLCC業務

本集團了解到於製造與銷售MLCC業務過程中所產生的廢氣、廢水、固體廢物及噪音等，會為環境帶來一定的影響，因此本集團致力於優化生產廠房的環境管理工作，並樹立重視環保與節約能源的企業文化。本集團多年來通過GB/T 24001-2016/ISO 14001:2015環境管理體系認證，建立了一套獨有的環境管理系統，將環境保護理念融入經營策略當中，以預防和控制生產過程對環境所造成的不良影響，亦同時提高資源與能源的利用率。本集團設立全質環境辦公室，全面管理廠房內各種污染物排放的監控與記錄工作，並制定「監視與測量管理程序」，以確保環境管理系統能正常運行及符合GB/T 24001-2016/ISO 14001:2015環境管理體系的要求。本集團定期委託第三方專業監測機構，按照當地生態環境局的排放標準及方法檢測廠房內各個排放口的污染物，有關檢測內容、標準及結果將於下文各排放物（廢氣、廢水及噪音）的管理部分中詳細描述。

近年面對國家日趨嚴謹的環境保護政策及標準，本集團每年評估各項與環境因素、危險源等方面相關法律法規及要求的合規情況，當發現任何不合規的情況，本集團會及時進行整改，並適時更新內部管理制度及發布給所有員工，以及為相關員工提供培訓，使本集團的環境方針能配合國家標準，並履行遵守環境與安全法律法規的承諾。於報告期內，本集團的滁州廠房收到一宗廢氣超標排放情況的通知及當地居民投訴滁州廠房排放的廢氣有異味，因此滁州廠房已委託了專業環境治理機構評估廠房的環保設備與設施，相關優化措施的詳細資料請參考下文「大氣排放的管理」部分，有關超標排放及改善措施的詳細資料請參考下文「合規性」部分。

# Environmental, Social and Governance Report

## 環境、社會及管治報告

### Management of Air and Greenhouse Gases Emissions

The waste gas generated by the Group in the production process comes from casting, printing, lamination, sintering, chamfering, end-capping, firing, electroplating and other processes, which mainly include volatile organic compounds, sulfuric acid mist, dust, etc. The Group installs appropriate and effective exhaust gas treatment equipment in each process to conduct exhaust gas harmless treatment, for example, using cooling tower, fume purification device, catalytic combustion device and activated carbon adsorption device to process the volatile organic compounds generated in each process. The sulfuric acid mist generated in the electroplating process is discharged after neutralizing its acidity using the alkaline liquid tower; all pollutants are discharged at a national standard height of 15 meters after treatment. The dust generated in sintering and chamfering processes is collected by bag filter, and regularly handled by the qualified waste treatment company. The Group operates the production equipment in a confined environment during production and the exhaust gases are redirected into a dedicated discharge pipe and exhaust fan so as to reduce the adverse impact of the unprocessed waste gas on the environment and employees' health. The Equipment Department regularly inspects and maintains production equipment and exhaust gas treatment facilities to ensure that they operate synchronously, and the exhaust gas emissions are stable and able to meet the emission standards. Besides, each production department is responsible for daily management of the production equipment and the environmental protection facilities, and at the same time, performs technical transformation analysis and treatment on the source of pollutant and proposes remediation plans.

**Activated carbon absorption devices at each discharge outlet within production plants**  
廠房內各廢氣排放口的活性炭吸附裝置

### 大氣排放的管理

本集團於生產過程中所產生的廢氣來自流延、印刷、疊層、燒結、倒角、封端、燒端、電鍍等工序，當中主要包括揮發性有機化合物、硫酸霧、粉塵等。本集團於各工序設置合適而有效的廢氣處理設備，對廢氣進行無害化處理，例如：採用冷凝塔、淨電油煙淨化裝置、催化燃燒裝置及活性炭吸附裝置，處理各工序所產生的揮發性有機化合物；於電鍍工序所產生的硫酸霧必須經過鹼液噴淋塔，中和其酸性後方能排放；各類廢氣在處理後均於國家規定的排放高度15米以上排放；而於燒結與倒角工序所產生的粉塵則採用布袋除塵器收集，並定期交由具資質的廢物處理公司處理。在生產過程中，本集團以密閉方式操作生產機器設備，廢氣必須導入專用的收集管道及抽風機，以減少未經處理的廢氣對環境及員工健康造成不良影響。設備部定期檢查及保養生產設備與廢氣處理設施，確保它們能同步運行，保證廢氣排放穩定並能達標排放。此外，各生產部門對生產設備與環保設施進行日常管理的同時，亦對各污染源進行技術改造的分析及處理，並提出整改方案。



# Environmental, Social and Governance Report

## 環境、社會及管治報告

During the reporting period, based on the recommendations and remediation plans from each department, Dongguan plant entrusted professional organic waste gas treatment company to conduct transformation on the waste gas treatment equipment used in casting and printing processes, and install rotary regenerative catalytic combustion purification device so as to strengthen the efficiency of volatile organic compounds treatment which is as high as 95% or above. This device does not produce any nitrogen oxides, and energy consumption is relatively low, and at the same time, reduce the generation of greenhouse gas. In response to the excessive emission of exhaust gas, the Chuzhou plant engaged a professional environment agency to conduct detailed assessment of the existing waste gas treatment facilities and equipment, and the assessment was conducted according to the "Administrative Measures for Post-Environmental Impact Assessment of Construction Projects (Trial)". Chuzhou plant has optimized the relevant facilities and equipment according to the recommendations received, for example, installing three sets of activated carbon absorption devices in ingredient, casting, printing, sintering and end-capping processes so as to strengthen the capability of volatile organic compounds treatment; installing additional drying equipment in the existing degassing waste gas treatment device so as to ensure the efficiency of activated absorption device; installing online monitoring equipment to effectively monitor the discharge of volatile organic compounds; collecting cooling liquid in an confined environment so as to reduce the unorganized emission of volatile organic compounds and avoid secondary pollution; installing additional waste gas collection and treatment equipment.

The Group has established "Pollutant Management Procedures" according to the requirement of the environmental management system. The operators of production equipment and waste gas treatment facilities must receive professional training prior to putting them into work. Training topics include regulations on operation and maintenance of facilities and equipment, prevention and handling methods of abnormalities, etc.. Employees must operate the equipment and facilities strictly according to the operating guidelines to prevent leakage or excessive discharge due to operational mistakes. Besides, in order to prevent new source of waste gas from purchase of new equipment, the Procurement Department will investigate the suppliers of the new equipment and assess whether the main components and volume of waste gas emissions from the new equipment can meet the national emission standards before acquisition.

於報告期內，東莞廠房根據各部門所提出的意見及整改方案，委託了專業有機廢氣治理機構改造流延及印刷工序所使用的廢氣處理設備，加建旋轉蓄熱式催化燃燒淨化裝置，以加強處理揮發性有機化合物的效率，淨化率高達95%或以上；此裝置不會產生任何氮氧化物，而且能耗較低，能同時減少溫室氣體的產生。針對出現超標的情況，滁州廠房委託了專業環境治理機構對現有的廢氣處理設施與設備進行了詳細的評估工作，而評估工作根據《建設項目環境影響後評價管理辦法（試行）》規定進行。廠房已按照建議優化相關的設施與設備，例如：於配料、流延、印刷、燒結及封端工序共增設三套活性炭吸附裝置，以加強處理揮發性有機化合物的能力；於現有排膠廢氣處理裝置增設乾燥設備，以確保後續活性炭吸附裝置的效率；安裝揮發性有機化合物線上監測設備，有效監測揮發性有機化合物的排放情況；以密閉方式收集冷凝液，減少揮發性有機化合物無組織地排放，避免產生二次污染；在危廢暫存倉庫及污水處理站增設廢氣收集及處理設備。

本集團根據環境管理系統制定了「污染物管理程序」，生產設備與廢氣處理設施的操作人員必須完成專業培訓才可上崗工作，培訓內容包括操作和保養設施與設備的規定、預防和處理異常情況的具體方法等，員工須嚴格按照指引操作設備與設施，以防止因操作錯誤而引致廢氣洩漏或超標排放。此外，為了防止產生新的廢氣污染源，於購置新設備前，採購部會調查有關供應商，以及評估新設備所產生廢氣的主要成分及排放量是否符合國家的廢氣排放標準。



# Environmental, Social and Governance Report

## 環境、社會及管治報告

### Management of Sewage

The wastewater generated by the Group in its operations mainly comes from offices, air compressors, cooling systems, central air conditioners, production processes and cleaning and repairing of its plant's facilities and equipment. According to the environmental management system, the Group established the "Pollutant Management Procedures" to monitor the discharge of wastewaters from different sources. At the same time, it also enhances employees' environmental awareness, and hopes that employees pay attention to water conservation to reduce sewage discharge. The Equipment Department will be notified promptly for repair once damaged valves or water leakage is found. The Administrative Department and the Equipment Department are jointly responsible for maintaining the sewage discharge pipelines to ensure there is no leakage and clogging. The Group also clears the residues and sediments in the septic tanks within the plant once a year to improve the capability in sewage treatment.

The wastewater generated from office, air compressors, cooling systems and central air conditioners and cleaning of the facilities and equipment, will directly discharge into municipal pipelines. The wastewater generated in the production processes mainly comes from the end and the electroplating processes, which contains degreasing lye, organic matters, nickel ions, tin ions, suspended matters, etc. Production wastewater is discharged to the sewage treatment station of the plant through drainpipes, and become harmless after the process of acid-alkali neutralization, drug absorption, flocculation, sedimentation, etc., and discharged to the local sewage treatment plant for further treatment when the national discharge standards are met. The Group adds various substances regularly in the sewage treatment process, such as sodium hydroxide, polyaluminium chloride, activated carbon, etc., to ensure the sewage treatment process operates properly. The designated personnel of sewage treatment station follows the operating guideline to perform each treatment process, monitor the amount of substances used and water quality, and keep proper record.

### 廢水排放的管理

本集團於營運中所產生的廢水主要來自辦公室、空氣壓縮機、冷卻系統、中央空調機、生產過程，以及清潔與保養廠房設施與設備等。本集團根據環境管理系統制定了「污染物管理程序」，以監測各種廢水的排放情況，同時亦加強員工的環保意識，希望員工注意節約用水，減少排放污水；如發現水閥漏水或損壞，會及時通知設備部並即時進行修理；行政部和設備部共同負責維護污水排放管道，確保污水排放管道不漏水及無堵塞；本集團亦每年清理一次廠房內化糞池中的殘渣、沉澱物，以提高其處理污水的能力。

辦公室的生活污水、空氣壓縮機、冷卻系統與中央空調機所產生的廢水，以及清潔廠房設施與設備的廢水會直接排放至市政管道。於生產過程中所產生的廢水主要來自端處及電鍍工序，當中含有除油鹼液、有機物、鎳離子、錫離子、懸浮物等，所有生產廢水經排水管輸送至廠房的污水處理站，通過酸鹼中和、加藥吸附、絮凝、沉澱等處理工序後，轉為符合國家排放標準的無害廢水，最後排放至當地污水處理廠作進一步處理。本集團每天定時於污水處理過程中補充氫氧化鈉、聚合氯化鋁、活性炭等物質，以保持各污水處理工序能正常運作。污水處理站專員按照操作規程進行各處理工序，監控物質的用量及水質情況，並作出適當的記錄。



# Environmental, Social and Governance Report

## 環境、社會及管治報告

The Group continuously upgrades and enhances our wastewater treatment facilities, carries out daily inspections and maintenance, and keeps record of the facilities' performance. During the reporting period, the chemical oxygen demand of the wastewater discharged from the sewage treatment station of Dongguan plant was unstable, and led to a hidden danger of substandard quality water. Therefore, it entrusted professional wastewater treatment company to enhance the facilities and equipment of the wastewater treatment station, and built additional wastewater treatment tanks to optimize the wastewater treatment process; at the same time, cleaned up the sediments in the sedimentation tanks and replaced parts of the sedimentation tanks to stabilize the amount of various substances in the wastewater and discharged them when the standards are met. In accordance with the provisions of the "Administrative Measures for Post-Environmental Impact Assessment of Construction Projects (Trial)", Chuzhou plant entrusted professional environment company to conduct assessment on the existing wastewater treatment facilities and equipment, and optimized the related facilities and equipment as recommended. The plant has installed defoaming water treatment facilities beside the electroplating wastewater treatment station; and installed online monitoring equipment for the flow of sewage discharge and nickel at the discharge outlet of the electroplating wastewater treatment station, and the water flow, pH value, chemical oxygen demand and ammonia nitrogen in the plant area in accordance with the requirements of the national "Technical Specification for Application and Approval of Discharge Permits — Electronic Industry".

本集團不斷提升及改造污水處理設施，每天進行檢查、維護和保養等管理，記錄日常運作情況。於報告期內，東莞廠房的污水處理站所排放廢水的化學需氧量不穩定，存在水質超標排放的隱患，故委託了專業廢水治理公司改造污水處理站的設施與設備，加建多個污水處理池，優化廢水處理工序；同時清洗沉澱池中的沉積物及更換沉澱池內的部件，以穩定廢水中的各種物質含量並達標排放。而滁州廠房按照《建設項目環境影響後評價管理辦法（試行）》規定，委託了專業環境治理機構評估現有的廢水處理設施與設備，並按照建議優化相關設施與設備，廠房已於電鍍廢水處理站旁增設消泡水處理設施；並按照國家《排污許可申請與核發技術規範—電子工業》的要求，於電鍍廢水處理站的排水口安裝流量與鎳的線上監測設備，以及於廠區內的總排水口安裝流量、酸鹼值、化學需氧量與氨氮的線上監測設備。



**Electroplating wastewater treatment station and other sewage treatment stations in the plant area**

廠房內的電鍍廢水處理站及其他污水處理站

# Environmental, Social and Governance Report

## 環境、社會及管治報告

The Group obtained the wastewater pollutants discharge permit from the local government. In accordance with the “Measures for Automatic Monitoring and Management of Pollution Sources”, sewage treatment station and online monitoring system are installed in the plant, and the local Ministry of Ecology and Environment can monitor the data of ammonia nitrogen emissions and chemical oxygen demand at any time on the online platform; and entrusted legitimate testing agency to examine the wastewater discharged by the Production Department at each discharge outlet every year, including tests of pH value, suspended solids, chemical oxygen demand, five-day biochemical oxygen demand, ammonia nitrogen, total phosphorus, animal and vegetable oil. During the reporting period, the Group’s test results have met the national “Water Pollutant Discharge Limit” and “Electroplating Pollutant Discharge Standard”. The local environmental protection department not only can monitor the pollutants in wastewater in real time through online monitoring system, but also examine the sewage discharge in the plant area on an irregular basis every year. During the reporting period, the Group did not receive any notice of excessive discharge of wastewater from relevant departments.

Waste organic solvents and waste alcohol generated when cleaning the production equipment in the casting process, and waste oil, waste organic solvents, etc. (such as nickel plating waste liquid, degreasing waste liquid, waste mineral oil, activated waste liquid, waste engine oil, etc.) produced from plant facilities and equipment maintenance are hazardous and harmful. Therefore, it cannot be discharged directly into the sewage pipeline. The Group stores waste liquids by category in designated storage tanks and labelled clearly. The storage place has a top cover to prevent overflow of waste liquid at time of heavy rain leading to environmental pollution. The Group follows the “Measures for the Management of Hazardous Waste Transfer” to report real-time on the transfer and movement of hazardous wastes, set up internal management records accordingly; and entrusted a recycling company who holds the “Permit on Hazardous Waste Business Operation” to handle. Other unauthorized and informal channels for disposal is forbidden.

本集團獲得當地政府的污染物排放許可證，並按照《污染源自動監控管理辦法》，於廠房設置污水處理站及在線監控系統，當地生態環保局皆能透過信息發布平台，隨時監察氮氫排放量和化學需氧量的數據；而且每年委託合法檢測機構檢測廠房各排放口的廢水，內容包括水質酸鹼值、懸浮物、化學需氧量、五日生化需氧量、氨氮、總磷及動植物油，本集團於報告期內的檢測結果均符合國家的《水污染物排放限值》及《電鍍污染物排放標準》。地方環保部門除了透過線上監測設備即時監測廢水含污量外，亦會每年不定期對廠房的排污情況進行檢測；於報告期內，本集團未有收到有關部門任何廢水超標排放的通知。

於流延工序中清洗生產設備時所產生的廢有機溶劑與廢酒精，以及維修及保養廠房設備時所產生的廢油、廢有機溶劑等（如鍍鎳廢液、脫脂廢液、廢礦物油、活化廢液、廢機油等）屬於危險及有害污染物，因此不可直接排放入污水管道。本集團將各類廢液分類並存放於指定的儲存桶內，於儲存桶上貼上清晰的標籤，存放地點必須設置上蓋，以防止廢液因雨水而溢出，造成環境污染。本集團按照國家的《危險廢物轉移管理辦法》，實時上報有害危險廢物的轉移情況，建立相關的信息管理記錄；並委託持有《危險廢物經營許可證》的回收公司處理，嚴防任何經非正常途徑的處置。

# Environmental, Social and Governance Report

## 環境、社會及管治報告

During the reporting period, the Group produced approximately 101.23 tonnes of hazardous wastewater, representing a decrease of approximately 7.61 tonnes or 6.99% as compared with the previous year. It is mainly due to the drop in production level, resulting in decrease of waste organic solvents generated in the production process. Besides, the non-hazardous wastewater generated by the Group is mainly domestic sewage discharged directly to municipal pipelines and wastewater treated by the plant's sewage treatment station. In the second half of 2019, due to major changes in Dongguan plant, and resignation of some employees, domestic sewage is therefore reduced. The business is also impacted, and resulted in the drop in production level. The electroplating process produces a large amount of wastewater, accounting for 70%-80% of water consumption of the whole production process. The Guangdong provincial government demands for the electroplating process to be carried out in the electroplating industrial park authorized by the government, therefore, Dongguan plant outsourced the process to an external plant since the third quarter of last year; and until September in the current year the process was taken up by the Chuzhou plant. Based on the above reasons, the non-hazardous wastewater<sup>3</sup> produced by the Group dropped by approximately 183,912.75 tonnes or 57.38% as compared with the previous year, and produced non-hazardous wastewater<sup>3</sup> of approximately 136,631.95 tonnes. As a result of the most water-intensive electroplating process being outsourced, the intensity of non-hazardous wastewater dropped significantly.

During the reporting period, the Group's emission of hazardous and non-hazardous wastewater are as follows:

於報告期內，本集團所產生的有害廢水約101.23噸，較上年度減少約7.61噸或6.99%，主要是由於生產量下跌，以致生產過程中所產生的廢有機溶劑減少。此外，本集團所產生的無害廢水主要是直接排放至市政管道的生活污水及經廠房的污水處理站處理後的廢水，於二零一九年下半年，由於東莞廠房內部出現重大變動，部分員工離職，生活污水因而減少；亦因如此，業務受到影響，以致生產量減少。電鍍工序會產生大量廢水，佔整個生產流程用水量的70%-80%，廣東省政府要求電鍍工序移至政府批准的電鍍工業園內進行，因此東莞廠房於上年度第三季度開始，將電鍍工序委託給外部加工廠，直至本年度九月將有關工序交由滁州廠房進行。綜合上述原因，本集團所產生的無害廢水<sup>3</sup>較上年度減少約183,912.75噸或57.38%，共產生無害廢水<sup>3</sup>約136,631.95噸；亦因為用水量大的電鍍工序交由外包加工廠進行，致使無害廢水的排放量密度大幅下降。

於報告期內，本集團的有害與無害廢水的排放情況如下表：

		2019 2019年度 (Tonnes) (噸)	2018 <sup>4</sup> 2018年度 <sup>4</sup> (Tonnes) (噸)
<b>Hazardous Wastewater<sup>1</sup></b>	<b>有害廢水<sup>1</sup></b>		
Total	總量	<b>101.23</b>	108.84
Intensity <sup>2</sup>	密度 <sup>2</sup>	<b>7.24</b>	6.63
<b>Non-hazardous Wastewater<sup>1,3</sup></b>	<b>無害廢水<sup>1,3</sup></b>		
Total	總量	<b>136,631.95</b>	320,544.70
Intensity <sup>2</sup>	密度 <sup>2</sup>	<b>9,769.56</b>	19,525.91



# Environmental, Social and Governance Report

## 環境、社會及管治報告

### Notes :

- This is the hazardous and non-hazardous wastewater discharge data of the Group's MLCC business. The Group's investment and financial services business is in office setting and does not generate any hazardous wastewater; while non-hazardous wastewater is only produced by employees in daily life, and the wastewater is directly discharged into the sewage pipeline managed by the property management company. Since the property management company did not provide the relevant data, and also the amount involved is not significant; the Group did not make the disclosure in this report.
- Due to the differences on the types, production time and production costs of the MLCC manufactured by the Group, the Group has converted the production level into product volume as the calculation basis of intensity. The intensity of hazardous and non-hazardous wastewater of the Group's MLCC business is measured in one cubic meter of MLCC produced.

The intensity of the previous year was calculated based on the equivalent production units rather than the product volume; in order to enhance the comparability of the data, the Group used the product volume to restate the intensity of emission of the previous year.
- Due to the significant personnel turnover happened during the year in Dongguan plant, certain records were incomplete during transition. The amount of non-hazardous wastewater discharged in the current year is thus estimated based on the amount of actual water consumption.
- Last year's comparative figures are restated to conform with the current year's presentation.

### Management of Disposal of Solid Wastes

Hazardous waste generated in the Group's operations mainly includes oily rags, waste fluorescent tubes, chemical and oil containers, waste capacitors, carbon filter cores, waste activated carbon, sludge, etc. (Please refer to the section "Management of Sewage" above for detailed treatment method). Non-hazardous solid waste mainly includes waste paper, waste paper box, waste paper tape, waste plastic, waste glass, waste cutting edge material, dust, plant waste and domestic waste, etc.

### 附註：

- 此為本集團MLCC業務的有害與無害廢水排放量數據，而本集團的投資與金融服務只屬辦公室營運，並沒有產生任何有害廢水；而無害廢水只有員工於日常生活中產生的生活廢水，所產生的廢水均直接排放至由大廈物業管理處管理的污水管道，大廈物業管理處沒有提供相關數據及有關數據並不重大，故本集團沒有披露於此報告中。
- 由於本集團所製造的MLCC產品的種類、生產時間、生產成本等有所不同，因此本集團將生產量折合成產品的體積，作為計算密度的基數，本集團MLCC業務的有害與無害廢水排放量的密度是以每生產一立方米的MLCC產品單位計算。

上年度的密度是按照約當產量，而非產品的體積計算；為了提高數據的可比性，本集團使用產品的體積重列上年度排放量密度的數據。
- 由於東莞廠房出現重大的人事變動，導致部分工作記錄未能完整交接，因此本年度的無害廢水排放量是按照用水量作估算。
- 上年度部分數據需重列作為比較用途。

### 固體廢物處置的管理

本集團在營運中所產生的有害固體廢物主要包括含油抹布、廢日光燈管、化學品與油類容器、廢電容、廢濾芯及濾袋、廢活性炭、污泥等（詳細的處理方法請參考上文「廢水排放的管理」部分）。無害固體廢物主要包括廢紙、廢紙箱、廢紙帶、廢塑膠、廢玻璃、廢切割邊料、塵土、植物垃圾及生活垃圾等。



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### Management of Categorization and Storage of Solid Wastes

In order to comply with the “Law of the People’s Republic of China on the Prevention and Control of Environmental Pollution by Solid Wastes” and other applicable laws and regulations, the Group has established “Solid Waste Management Procedures”. Each department strictly follows the collection, classification, labelling, storage, disposal and recording procedures for solid wastes, and the Environmental Quality Office strictly monitors the whole process. Each production workshop sets up hazardous waste collection point. The Production Department classifies the toxic and hazardous solid wastes and affixes clear labels. The Administrative Service Department regularly transports the dangerous wastes from each collection point to the dangerous waste warehouse in the plant and keeps record. The dangerous waste warehouse was set up with anti-spread, anti-loss, anti-leakage and other pollutant prevention measures to ensure the hazardous wastes are stored in accordance with the “Standard for Pollution Control of Dangerous Wastes Storage”, and to prevent environmental pollution from improper storage. The Group categorizes non-hazardous wastes into recyclable waste and non-recyclable waste, and follows the “Standard for Pollution Control on the Storage and Disposal Site for General Industrial Solid Wastes” to set up waste collection station, and the wastes are stored by category and are clearly labelled. Designated personnel are assigned to follow up and manage the wastes collected. Waste collection station are also clearly labelled and are set up with sun protection, rain protection, and anti-leakage measures.

### Management of Disposal of Solid Wastes

Resources conservation and pollution mitigation are very important to protect the planet’s limited resources. The Group is committed to improving environmental hygiene, reducing environmental pollution, reuses recyclable waste whenever possible, implements waste reuse and reduction policy, and entrusts companies that holds local environmental certification to handle and prevent resources wastage and environmental pollution. Non-recyclable wastes are passed to the local sanitation department for regular clearing. For the disposal of hazardous wastes, the Group follows the “Measures for the Management

### 固體廢物分類與儲存的管理

為了符合《中華人民共和國固體廢物污染環境防治法》及相關法律法規，本集團制訂了「固體廢棄物管理程序」，各部門嚴格按照程序對廢物的收集、分類、標識、儲存、處置與記錄進行管理，並由全質環境辦公室嚴密監督整個過程；每個生產車間均設有危險廢物收集點，生產部門先將有毒與有害固體廢物分類存放並做好標識，行政服務部定期將危險廢物從各個收集點移放至廠房內的危險廢物倉庫，並進行記錄；危險廢物倉庫已採取防揚散、防流失、防滲漏或其他防止污染環境的措施，以確保能按照《危險廢物儲存污染控制標準》儲存有害固體廢物，以及避免因儲存不當而造成環境污染。本集團將無害固體廢物分類為可回收廢物及不可回收廢物，並按照《一般工業固體廢物儲存場、處置場污染控制標準》在廠房內設置廢物收集場，將廢物分類及貼上清晰的標籤，並由專人負責跟進和管理，廢物收集場亦已設置清楚標識及具有防曬、防雨、防洩漏等措施。

### 固體廢物處置的管理

節約資源和減少污染對保護地球的有限資源十分重要，本集團致力於改善環境衛生，減少環境污染，儘可能將可回收廢物進行回收利用，實行廢物資源化和減量化，以及委託符合當地環保認證的公司處理，防止資源浪費和環境污染。不可回收的廢物則交由當地環衛部門定期清理。對於有害固體廢物的處置，本集團按照國家的《危險廢物轉移管理辦法》，實時上報有害危險廢物的轉移情況，建立相關的信息管理記錄；並委託持有《危險廢物經營許可證》的回收公司處理，嚴防任何經非正常途徑的處

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of Hazardous Waste Transfer” to report real-time on the transfer and movement of hazardous wastes, set up internal management records accordingly; and entrusted a recycling company who holds the “Permit on Hazardous Waste Business Operation” to handle. Other unauthorized and informal channels for disposal is forbidden. Besides, the Group conducts annual evaluation on the recycling companies according to the “Related Parties Management Procedures”, and evaluation mainly covers whether waste disposal procedures comply with laws and regulations, whether the recycling companies use the appropriate disposal method, whether the wastes have been causing secondary pollution, etc. The contract with the Group will be renewed only when the recycling companies passed the evaluation.

Although the production level decreased in the current year, the waste activated carbon increased significantly by 463.07%. This is due to a number of activated carbon absorption devices being installed in Chuzhou plant. As a whole, the Group generated approximately 171.18 tonnes of hazardous solid wastes, representing an increase of approximately 20.45 tonnes or 13.57% as compared with the previous year. The intensity of hazardous solid also increased significantly for the same reason. During the reporting period, the Group generated approximately 505.22 tonnes of non-hazardous solid wastes, representing a slight increase of 1.94 tonnes or 0.39% as compared with the previous year.

During the reporting period, the Group’s production of hazardous and non-hazardous solid wastes are as follows:

置。此外，本集團每年會按照「相關方管理程序」對回收公司進行評審，評審內容主要包括廢物的處置程序是否合乎法律法規、回收公司是否使用正確的處置方法、廢物有沒有曾經造成二次污染等，回收公司均需通過有關評審才可與本集團續約。

雖然本年度的生產量減少，但由於滁州廠房增設了多台活性炭吸附裝置，以致廢活性炭的產生量大幅增加463.07%，因此本集團產生有害固體廢物約171.18噸，較上年度增加約20.45噸或13.57%；亦因如此，有害固體廢物產生量的密度大幅上升。於報告期內，本集團產生無害固體廢物約505.22噸，較上年度輕微上升1.94噸或0.39%。

於報告期內，本集團的有害與無害固體廢物的產生情況如下表：

		2019 2019年度 (Tonnes) (噸)	2018 <sup>4</sup> 2018年度 <sup>4</sup> (Tonnes) (噸)
<b>Hazardous Solid Wastes<sup>1</sup></b>	<b>有害固體廢物<sup>1</sup></b>		
Total	總量	171.18	150.73
Intensity <sup>2</sup>	密度 <sup>2</sup>	12.24	9.18
<b>Non-hazardous Solid Wastes<sup>1,3</sup></b>	<b>無害固體廢物<sup>1,3</sup></b>		
Total	總量	505.22	503.28
Intensity <sup>2</sup>	密度 <sup>2</sup>	36.12	30.66

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### Notes :

<sup>1</sup> This is the hazardous and non-hazardous solid waste data of the Group's MLCC business. The Group's investment and financial services business is in office setting and does not generate any hazardous solid waste; while non-hazardous solid waste is only domestic wastes and paper produced by employees in daily life, and the non-hazardous waste is handled by the property management company. Since the property management company did not provide the relevant data, and also the amount involved is not significant; the Group did not make the disclosure in this report.

<sup>2</sup> Due to the differences on the types, production time and production costs of the MLCC manufactured by the Group, the Group has converted the production level into product volume as the calculation basis of intensity. The intensity of hazardous and non-hazardous solid waste of the Group's MLCC business is measured in one cubic meter of MLCC produced.

The intensity of the previous year was calculated based on the equivalent production units rather than the product volume; in order to enhance the comparability of the data, the Group used the product volume to restate the intensity of emission of the previous year.

<sup>3</sup> Due to the significant personnel turnover happened during the year in Dongguan plant, certain records was incomplete during transition. The amount of non-hazardous solid waste generated in the current year is estimated based on the ratio of two years' production level. Dongguan plant has started to collect statistics for all industrial wastes (such as paper tapes, paper, plastic packaging film, pedal board, etc.) in 2020.

The Chuzhou plant started to collect statistics for the non-hazardous solid waste generated since September 2019. Therefore, the amount of non-hazardous solid waste generated this year is estimated based on the ratio of two years' production level.

<sup>4</sup> Last year's comparative figures are restated to conform with the current year's presentation.

### Management of Noise

The noise generated by the Group mainly comes from the production machinery and exhaust fan. The impact on the environment from the noise is effectively controlled through our planning of the location of the production workshop and production time, the layout of the production equipment with the measures of shock absorption, noise reduction, noise elimination and sound

### 附註：

<sup>1</sup> 此為本集團MLCC業務的有害與無害固體廢物產生量數據，而本集團的投資與金融服務業務只屬辦公室營運，並沒有產生任何有害固體廢物；而無害固體廢物只有員工於日常生活中產生的生活廢物及紙張等，所產生的無害固體廢物均由大廈物業管理處負責處理，大廈物業管理處沒有提供相關數據及有關數據並不重大，故本集團沒有披露於此報告中。

<sup>2</sup> 由於本集團所製造的MLCC產品的種類、生產時間、生產成本等有所不同，因此本集團將生產量折合成產品的體積，作為計算密度的基數，本集團MLCC業務的有害與無害固體廢物產生量的密度是以每生產一立方米的MLCC產品單位計算。

上年度的密度是按照約當產量，而非產品的體積計算；為了提高數據的可比性，本集團使用產品的體積重列上年度排放量密度的數據。

<sup>3</sup> 由於東莞廠房出現重大人事變動，導致部分工作記錄未能完整交接，因此本年度的無害固體廢物產生量是按照廠房兩年度的生產量比例進行估算。東莞廠房已於2020年開始重新對各類工業垃圾（如紙帶、紙皮、塑料包裝膜、踏板木材等）進行統計。

滁州廠房於2019年9月開始為所產生的無害固體廢物進行統計，因此本年度的無害固體廢物產生量是按照廠房兩年度的生產量比例進行估算。

<sup>4</sup> 上年度部分數據需重列作為比較用途。

### 噪音的管理

本集團的噪音主要來自操作生產機器設備及風機，透過合適的生產時間、生產車間位置的規劃、生產設備的佈局設置，同時採取減震、降噪、消聲及隔音的措施，以控制噪音對環境的影響，例如：將鍋爐風機設置於獨立房間；車間的門窗選用隔聲性能良好的材料或結構；於設備底部安裝減震片；加強機器設備的維修保



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insulation. For example, boiler exhaust fan is installed in independent room, doors and windows of workshops are made of materials or structure with good sound insulation performance, shock absorbers are installed at the bottom of the equipment, strengthen the repair and maintenance of machinery and equipment, use lubricating oil to reduce wear and tear on the equipment, strengthen the greening of plant areas to further reduce the impact of noise to the surrounding communities and environment by the attenuation effect of trees. At the same time, the Group equips its employees with earmuffs or earplugs to reduce the chance of suffering from occupational diseases. The Group always strictly abides by the noise emission limits and guidelines of the "Emission Standard for Industrial Enterprises Noise at Boundary", and follows the internal environment management policy to regulate noise emissions. During the reporting period, the test result of noise inspection report of each plant can meet the standard.

### Compliance

During the reporting period, the Chuzhou plant has violated the provisions of the "Law of the People's Republic of China on the Prevention and Control of Atmospheric Pollution" and involved an incident in which the toluene content in the exhaust gas exceeded the standard by about 0.93 times, and the related fine was paid in August 2019. The Chuzhou plant has installed a number of environmental protection equipment in accordance with the requirements of the local environmental protection department and the recommendations of environmental protection experts (Please refer to the section "Management of Air and Greenhouse Gases Emissions" above for details). The additional environmental exhaust gas treatment equipment have been officially put into use in December 2019. In May 2020, the local environmental protection department appointed a third-party inspection agency to test the exhaust gas of each discharge outlet of the environmental protection treatment equipment in the plant. The test results of the hazardous substance contents met the local emission standards. On 22 June 2020, the local environmental protection department visited the Chuzhou plant to follow up with the incident and inspect the operation of the equipment. As of the report date, the Chuzhou plant did not receive any notices involving violations.

## 2. Management of Resources Utilization

In order to comply with the "Law of the People's Republic of China on Energy Conservation" and the applicable local laws and regulations, and to instill its people with thoughts in natural resources conservation and to promote the culture of environmental protection, the Group's production departments and office administration have set up a number of measures to demand its employees to use resources diligently and to maximize its effectiveness and to eliminate waste.

養及使用潤滑油防止機器磨損；加強廠區的綠化，通過樹木的衰減作用，進一步減輕噪音對周遭社區與環境的影響等。同時，本集團為員工配備耳罩或耳塞，以減少員工患上職業病的機會。本集團一直嚴格遵守《工業企業廠界環境噪聲排放標準》內的噪音排放限值與指引，並按照內部環境管理制度規範噪音的排放。於報告期內，各廠房的噪聲檢測報告結果均達標。

### 合規性

於報告期內，滁州廠房曾違反《中華人民共和國大氣污染防治法》的規定，涉及一宗廢氣中甲苯含量超標排放約0.93倍的事件，並於2019年8月繳交有關罰款。滁州廠房已按照當地的環境保護局的要求及環保專家的建議增設多項環保設備（詳細資料請參考上文「廢氣排放的管理」部分），增設的環保廢氣處理設備已於2019年12月正式投入使用。當地的環境保護局於2020年5月委派第三方檢測機構，檢測廠房內的各項環保處理設備排放口的廢氣排污情況，有害物質含量的檢測結果均符合當地的排放標準。於2020年6月22日當地的環境保護局到訪滁州廠房跟進有關事件及檢查設備的運行狀況，截至報告日期滁州廠房沒有收到任何涉及違規的通知。

## 2. 資源使用的管理

為了遵守《中華人民共和國節約能源法》及當地的有關法律法規，以及樹立節約天然資源的思想及推動環境保護，本集團的生產部門和辦公室均推行了多項措施，要求員工能身體力行節約與充分利用資源，發揮其最大效益，杜絕浪費。



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### Conservation of Energy

#### Conservation of Gasoline and Diesel

Gasoline and diesel are mainly used in automobiles. The Group has established the "Fleet Management Regulations", which strictly stipulates the management of the use, repair and maintenance of vehicles. Vehicles of the company are only used for business purpose to reduce extra fuel consumption due to unnecessary use of vehicles. Besides, the Group encourages drivers to plan their routes in advance. If passengers travel to the same or close destinations, they will be arranged to use the same vehicle to reduce the amount of gasoline and diesel used. The Engineering Department conducts regularly repairs and maintenances on vehicles for better energy use efficiency and to reduce fuel consumption and waste gas emissions due to part failures. During the reporting period, as the company purchase two new vehicles, the gasoline consumption increased by approximately 6,562.27 liters or 23.52%, and diesel consumption increased by approximately 2,287.50 liters or 62.96%.

During the reporting period, the Group's direct use of energy and the Scope 1 greenhouse gas emitted by the Group are as follows:

### 節約能源

#### 節約使用汽油及柴油

汽油及柴油主要用於汽車。本集團制定了「車隊管理規定」，嚴格規定車輛在使用、維修及保養方面的管理，公司車輛只能作公務用途，以減少非必要用車而產生額外用油。此外，本集團鼓勵司機用車前必須預先規劃路線，如乘客前往相同或接近的目的地，會安排他們使用同一車輛，以縮短行車路程及減少汽油及柴油的用量；工程部門定期維修及保養車輛，以提高能源的使用效率，以及減少因零件故障而增加消耗燃油和廢氣。於報告期內，由於公司增加了兩輛車輛，因此汽油的使用量增加約6,562.27公升或23.52%，以及柴油的使用量增加約2,287.50公升或62.96%。

於報告期內，本集團直接使用能源及所產生範圍一溫室氣體的排放情況如下表：

		2019 2019年度		2018 <sup>2</sup> 2018年度 <sup>2</sup>	
		Consumption (Liters)	CO <sub>2</sub> equivalent emissions (Tonnes) 二氧化碳 當量排放量 (噸)	Consumption (Liters)	CO <sub>2</sub> equivalent emissions (Tonnes) 二氧化碳 當量排放量 (噸)
		燃料使用量 (公升)	當量排放量 (噸)	燃料使用量 (公升)	當量排放量 (噸)
Gasoline	汽油	34,468.68	93.33	27,906.41	75.56
Diesel	柴油	5,921.00	16.41	3,633.50	10.08
<b>Total Group's Emission</b>	<b>本集團排放總量</b>		<b>109.74</b>		<b>85.64</b>
<b>Group's Emission Intensity<sup>1</sup></b>	<b>本集團排放量密度<sup>1</sup></b>		<b>7.85</b>		<b>5.22</b>

Notes :

<sup>1</sup> Due to the differences on the types, production time and production costs of the MLCC manufactured by the Group, the Group has converted the production level into product volume as the calculation basis of intensity. The intensity of greenhouse gas emissions of the Group's MLCC business is measured in one cubic meter of MLCC produced.

附註：

<sup>1</sup> 由於本集團所製造的MLCC產品的種類、生產時間、生產成本等有所不同，因此本集團將生產量折合成產品的體積，作為計算密度的基數，本集團MLCC業務的溫室氣體排放量的密度是以每生產一立方米的MLCC產品單位計算。

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The intensity of the previous year was calculated based on the equivalent production units rather than the product volume; in order to enhance the comparability of the data, the Group used the product volume to restate the intensity of emission of the previous year.

<sup>2</sup> Last year's comparative figures are restated to conform with the current year's presentation.

### Conservation of Electricity

The Group's electricity is mainly used in office and electrical equipment in the production plant, actively promotes the energy conservation and consumption reduction policy based on the applicable laws and regulation, and implements a series of energy conservation measures to educate employees on the relationship between energy use and earth sustainability, so that they can build good habit in use of electricity. The air conditioners in the office should only be used according to seasonal changes; switch off the unused lights, air conditioners, computers and other electrical equipment at lunch break and after work, encourage employees to use natural light for daily operations; to maintain the production and electrical equipment regularly to reduce wasted power due to malfunctioning. In order to use energy reasonably and reduce resource consumption, the Group has established "Energy Resource Management Procedures" to encourage employees to make reasonable recommendations, and adopt new energy-saving production techniques; urge employees to strictly follow the operating guidelines so as to reduce unnecessary waste. When purchasing new equipment, the Group will select those with greater energy efficient or with the energy-efficient labels (such as LED lights, etc.). As required under the "Electricity Saving Operation Procedure", the Equipment Department regularly inspects the production equipment for engine idling situation, and conducts maintenance and repairs; checks and records the electricity consumption data of each department every month, and takes necessary and timely action to control it in case of abnormality found. Besides, the Equipment Department compares the ratio of electricity consumption and production level in the current and previous years, investigation will be conducted and remediation plan will be set up in case of significant variance is found so as to reduce greenhouse gas emissions due to abnormal electricity use in the plants. Designated personnel is assigned by each department to manage the high-power refrigeration equipment and to monitor the electricity consumption of the department. Electrician is responsible for the night time power consumption monitoring to ensure equipment operates under normal condition.

上年度的密度是按照約當產量，而非產品的體積計算；為了提高數據的可比性，本集團使用產品的體積重列上年度排放量密度的數據。

<sup>2</sup> 上年度部分數據需重列作為比較用途。

### 節約用電

本集團的電力主要用於辦公室及廠房的用電設備，以有關節約能源的法律法規為標準，積極推廣「節能減耗」的能源使用方針，並實施一系列節能措施，教育員工明白能源使用與地球可持續性的關係，讓他們能培養良好的用電習慣。辦公室的空調機需按照季節變化而調節；於中午休息時、下班後關掉不使用的照明燈、空調機、電腦等用電設備；鼓勵員工使用自然光進行日常作業；定期維修及保養生產及用電設備，以減少因設備不正常運作而浪費電力。為了合理利用能源，降低資源消耗，本集團制定了「能源資源管理程序」，鼓勵員工提出合理化的建議，採取節約能源的新工藝；督促員工嚴格按照工作指引操作，減少不必要的浪費。在購置新設備時，本集團儘量選擇能源效益較大或帶有能源效益標籤的設備（如LED燈等）。各部門亦根據「節約電能操作規程」的要求，設備部定期巡查生產設備是否有空載現象及進行保養與維修；每月需檢查及記錄各部門的耗電量，如出現異常情況，需及時採取措施加以控制；此外，設備部比較本年度與上年度的耗電量與生產量之間的比率，發現偏差較大時，進行調查並提出整改方案，以減少廠房的非正常用電，從而減少溫室氣體的排放。而各部門由專人負責管理大功率製冷設備及監控部門的用電情況，派電工則負責監控夜間的用電情況，確保設備均處於正常狀況下運作。

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During the reporting period, the Group's MLCC business consumed approximately 26,482.80 megawatt hours ("MWh") of electricity, representing a decrease of approximately 6,887.67 MWh or 20.64% as compared with the previous year. This is due to suspension of part of the business operation and production in Dongguan plant resulting from the significant personnel turnover between August and September in 2019, thereby reduced electricity consumption. Electricity is mainly used in operating the production equipment, and therefore, electricity consumption of Dongguan plant dropped by 34.69% as compared with the previous year. Although the production level decreased in the current year, certain electrical equipment in the plant runs non-stop, for example, air-conditioners in the production workshops and warehouse operates non-stop so as to keep the indoor temperature steadily at 24 degrees Celsius for maintaining product quality; the boilers in the firing process consumed a large amount of electricity, and requires to operate continuously throughout the year. However, the electricity consumed by these equipment is rather fixed, and therefore, the intensity of electricity consumption only decreased by 6.85%, and did not drop to the same extent as the drop of the overall electricity consumption.

During the reporting period, the Group's use of electricity and the Scope 2 greenhouse gas emitted by the Group are as follows:

於報告期內，本集團MLCC業務消耗電力約26,482.80兆瓦時，較上年度減少約6,887.67兆瓦時或20.64%；這是由於於二零一九年八月初至九月中旬間，東莞廠房因內部出現重大人事變動，廠房中部分運作及生產程序短暫停止，用電量因而下跌，而且電力主要用於生產設備，故東莞廠房的耗電量較上年度減少約34.69%。雖然本年度的生產量減少，但廠房內部分用電設備需長期運行，例如：生產車間及倉庫需長期使用空調機，使室內溫度保持於攝氏24度，以保證產品質量；燒結工序所使用的熔爐使用大量電力，並需長年無休運行；這些設備的耗電量是固定的，因此耗電量密度未有隨電量下降幅度而下降，只下降約6.85%。

於報告期內，本集團使用電力及所產生範圍二溫室氣體的排放情況如下表：

		2019 2019年度		2018 2018年度 <sup>3</sup>	
		Consumption (MWh) 使用量 (兆瓦時)	CO <sub>2</sub> equivalent emissions (Tonnes) 二氧化碳 當量排放量 (噸)	Consumption (MWh) 使用量 (兆瓦時)	CO <sub>2</sub> equivalent emissions (Tonnes) 二氧化碳 當量排放量 (噸)
<b>MLCC Business:</b>	<b>MLCC業務:</b>				
Electricity	電力	26,482.80	21,728.60	33,370.47	28,188.87
<b>Business emission intensity<sup>1</sup></b>	<b>業務排放量密度<sup>1</sup></b>		1,553.66		1,717.12
<b>Investment and Financial Service Business</b>	<b>投資與金融服務業務:</b>				
Electricity	電力	25.26	20.21	27.87	22.01
<b>Business emission intensity<sup>2</sup></b>	<b>業務排放量密度<sup>2</sup></b>		0.65		0.53



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### Notes :

<sup>1</sup> Due to the differences on the types, production time and production costs of the MLCC manufactured by the Group, the Group has converted the production level into product volume as the calculation basis of intensity. The intensity of greenhouse gas emissions of the Group's MLCC business is measured in one cubic meter of MLCC produced.

The intensity of the previous year was calculated based on the equivalent production units rather than the product volume; in order to enhance the comparability of the data, the Group used the product volume to restate the intensity of emission of the previous year.

<sup>2</sup> The emission intensity of investment and financial services business is calculated based on the number of employees.

<sup>3</sup> Last year's comparative figures are restated to conform with the current year's presentation.

### Conservation of Water

The Group's MLCC business uses government-supplied water sources, mainly for the production of MLCC and domestic use; while the water source for other businesses is provided and managed by the property management companies, mainly for restroom use. Although the Group does not encounter any water supply problem during the reporting period, the Group has taken various measures to educate its employees to save water in daily life. In order to establish correct concept of water use and enhance employees' environmental awareness, the Group posts water saving tips in prominent place so as to raise the employees' awareness and to reduce wastage; for example, remind employees to cherish water, drinking water cannot be used for other purposes; control water flow when washing hands and turn the faucet off after use, etc.; all production departments and office administration needs to regularly check the water facilities, pipelines, faucets, etc. within their operating area to eliminate the long flowing water from occurring; immediately inform the Maintenance Department to carry out repairs when pipeline or valve damage or water leakage is found. During the reporting period, according to the notice of the local Ministry of Ecology and Environment, Dongguan plant installed smart water meters at each water outlet in the plant in order to align with the national policy of implementation of intelligent monitoring, and at the same time, conducts effective management on water

### 附註：

<sup>1</sup> 由於本集團所製造的MLCC產品的種類、生產時間、生產成本等有所不同，因此本集團將生產量折合成產品的體積，作為計算密度的基數，本集團MLCC業務的溫室氣體排放量的密度是以每生產一立方米的MLCC產品單位計算。

上年度的密度是按照約當產量，而非產品的體積計算；為了提高數據的可比性，本集團使用產品的體積重列上年度排放量密度的數據。

<sup>2</sup> 投資與金融服務業務的排放量密度以每位員工計算。

<sup>3</sup> 上年度部分數據需重列作為比較用途。

### 節約用水

本集團的MLCC業務使用政府供應的水源，主要用於生產MLCC及生活用水；其他業務的水源由物業管理公司提供及管理，主要是洗手間用水。於報告期內，本集團雖然沒有就取得適用水源上遇到任何問題，但仍積極採取各項措施，教育員工節約用水應從日常生活開始，為了建立正確的用水觀念及加強員工的環保意識，本集團於當眼處張貼節約用水提示，提高員工用水的自覺性，減少浪費；例如：提醒員工珍惜食水，飲用水不可作其他用途；洗手時控制水流，水龍頭儘量開小，用完隨手關閉等；各生產部門及辦公室定期檢查其範圍內的用水設施、管道、水龍頭等，杜絕長流水現象。如發現管道、閥門有損壞漏水的，應立即通知維修部門進行維修。於報告期內，東莞廠房按照當地生態環境局的通知，於廠房內各個用水口安裝智慧水錶，配合國家全面實施全過程智慧監控的政策，亦同時對廠房內各部門用水進行更加有效的管理，例如：為廠房的污水處理站進行升級改造、控制倒角工序中清洗工件表面時的用水量。於報告期內，本集團製造MLCC業務的耗水量約136,631.95立方米，較上年度減少約

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consumption of each department. For example, upgrade the wastewater treatment station and control the amount of cleaning water used in chamfering process. During the reporting period, the Group's MLCC business consumed approximately of 136,631.95 cubic meters of water, representing a decrease of 236,285.25 cubic meters or 63.36% as compared with the previous year. This is due to the drop in production level, and outsource of the electroplating process to external companies further reduced the volume of water consumed (please refer to the section "Management of Sewage" above for details). The intensity of water consumption also decreased significantly due to outsourcing of the water-intensive electroplating process.

During the reporting period, the Group's use of water resources is as follows:

236,285.25立方米或63.36%，這是由於生產量大幅下降，加上電鍍工序交由外包加工廠進行，致使用水量進一步下降（詳細資料請參考上文「廢水排放的管理」部分）；亦因為用水量大的電鍍工序交由外包加工廠進行，致使用水量密度大幅下降。

於報告期內，本集團水資源的使用情況如下表：

		<b>2019</b> <b>2019年度</b> <b>(Cubic</b> <b>Meters)</b> <b>( 立方米 )</b>	<b>2018<sup>3</sup></b> <b>2018年度<sup>3</sup></b> <b>(Cubic</b> <b>Meters)</b> <b>( 立方米 )</b>
<b>Water Resources <sup>1</sup></b>	<b>水資源<sup>1</sup></b>		
Total	總量	<b>136,631.95</b>	372,917.20
Intensity <sup>2</sup>	密度 <sup>2</sup>	<b>9,769.56</b>	22,716.17

Notes :

<sup>1</sup> This is the water consumption data of the Group's MLCC business. The water source of the Group's investment and financial services business is supplied by building management company. The property management company did not provide the relevant data, and thus the Group did not make the disclosure in this report. Water used by the investment and financial services business is mainly for drinking and cleaning purposes.

<sup>2</sup> Due to the differences on the types, production time and production costs of the MLCC manufactured by the Group, the Group has converted the production level into product volume as the calculation basis of intensity. The intensity of water consumption of the Group's MLCC business is measured in one cubic meter of MLCC produced.

The intensity of the previous year was calculated based on the equivalent production units rather than the product volume; in order to enhance the comparability of the data, the Group used the product volume to restate the intensity of consumption of the previous year.

<sup>3</sup> Last year's comparative figures are restated to conform with the current year's presentation.

附註：

<sup>1</sup> 此為本集團MLCC業務的用水量數據，而由於本集團的投資與金融服務業務用水由大廈物業管理處管理，而大廈物業管理處未有提供相關的用水數據，故未於本報告披露有關數據。投資與金融服務業務用水只作為飲用及清潔用途。

<sup>2</sup> 由於本集團所製造的MLCC產品的種類、生產時間、生產成本等有所不同，因此本集團將生產量折合成產品的體積，作為計算密度的基數，本集團MLCC業務的用水量的密度是以每生產一立方米的MLCC產品單位計算。

上年度的密度是按照約當產量，而非產品的體積計算；為了提高數據的可比性，本集團使用產品的體積重列上年度排放量密度的數據。

<sup>3</sup> 上年度部分數據需重列作為比較用途。

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### Conservation of Paper

The Group promotes green office policy and encourage employees to save paper and avoid wastage. Paper is purchased by the Procurement Center; the Group tries to reduce photocopying and printing by sending files in electronic forms, recycles the one-sided used papers, used envelope and packaging materials, collects the double-sided wasted papers to the qualified recycler for recycling. During the reporting period, the Group consumed approximately 10.26 tonnes of paper, representing an increase of 2.18 tonnes or 17.52% as compared with the previous year.

### 3. The Environment and Natural Resources

In recent years, the national environmental protection department continuously tightens the emission standard and environmental protection policies on “three wastes” (i.e. waste gas, wastewater and solid waste). It increases the difficulty of environmental management. Each production plant of the Group has obtained and passed the audit of GBT 24001– 2016/ISO 14001:2015 environmental management system certification. This not only affirming the Group’s investment in environmental protection, but also proved the determination of environmental protection, and will continue to adopt different measures to increase investment environmental protection and treatment facilities and upgrade the existing facilities; enhance the production process to reduce the generation of “three wastes”; provide regular departmental training to ensure the effective operation of management system, and thereby enhancing employees’ environmental awareness; outsource the processes with significant emissions to meet the strictly controlled “three wastes” national emission standards and environmental protection policies.

The Group upholds the entrepreneurial spirit of hard work, takes the concept of building a century-old enterprise, regards integrity as the cornerstone of life and business development, and pursues excellence as its business goal, making important contributions to social development and industrial progress. All members of the Group will, as always, give back to the society with excellence and best service. As a responsible corporate citizen, the Group creates value for customers, creates opportunities for employees, creates returns for shareholders, achieves stable economic growth for the Group, builds up the Group as world-class enterprise, and strike the balance between business development and environmental protection to reduce the Group’s demand and adverse impact on the environment.

### 節約用紙

本集團提倡綠色辦公室政策，鼓勵員工節約用紙，避免浪費。紙張由採購中心統一採購；儘量以電子檔案形式傳遞信息及文件，以減少影印及列印；而當有需要影印或列印時，亦要儘量充分利用及循環使用單面列印的紙張、舊信封及包裝材料，將兩面都已使用過的廢紙放入再造紙收集箱，並交由符合資格的廢物回收商處理。於報告期內，本集團的共使用紙張10.26噸，較上年度減少2.18噸或17.52%。

### 3. 環境及天然資源

近年，由於國家環保部門不斷收緊「三廢」（即廢氣、廢水及固體廢物）的排放指標及環保政策，增加了環保管理工作的難度，本集團各個生產廠房多年來均獲得並通過GBT 24001-2016/ISO 14001:2015環境管理體系審核認證，這除了肯定本集團在環境保護工作的投入外，亦同時見證對環境保護的決心，並將繼續採取不同措施，投放資金於增加環保治理設施及將現有設施升級；改善技術以減少「三廢」的產生；定期為部門提供培訓課程，確保管理體系有效地運作，從而提高員工之環保意識，將污染物排放量大的工序外包等，以應對國家嚴加管控的「三廢」排放指標及環保政策。

本集團秉承艱苦奮鬥的創業精神，以打造百年企業為理念，以誠信作為做人做事及企業發展的基石，以追求卓越作為經營目標，為社會的發展和產業的進步作出重要貢獻。本集團全體將一如既往以感恩的情懷，以精益求精、至善至美的服務精神回饋社會。作為一個負責任的企業公民，本集團為客戶創造價值，為員工創造機會，為股東創造回報，為本集團取得穩定經濟增長，把本集團建設為世界一流企業的同時，亦建立與環境保護同步發展的平衡點，以減少本集團對環境的索求與不良影響。



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### V. EMPLOYMENT AND LABOR PRACTICES

Employees are the Group's valuable assets and the key driver for the Group's sustainable and long-term business development. The Group devotes to create a non-discrimination, equal, harmonious and safe workplace; build up a mutual-respect and good relationship with its people; encourage its employees to be innovative, flexible and committed when dealing with its customers and produce high quality products and services as their mission. To accomplish this target, the Group offers opportunities of advancement to attract, develop, retain and reward our talented staff; provide commensurate remuneration, personal growth and career development training; and other fringe benefits. Besides, the Group cares about its employees' work, life, physical and mental health. The Group organizes regular cultural, free time activities and training courses to enrich their leisure time and to enhance their technical skills and team cohesion. The Group encourages employees to maintain harmonious interpersonal relationships, promote team spirit of cooperation and unity, bravely face difficulties and overcome challenges.

#### 1. Talent Selection

The Group is a fair opportunity employer and respects personal privacy, and it has established and implemented fair treatment policy. In accordance with the principle of "Openness, fairness, equality, competition and selection of merits" and adherence to the concept of use of talents, the appropriate candidates would be selected based on their morality, knowledge, abilities and job requirements, and regardless of their age, gender, sexual orientation, race, marital status, religion, political factions. The policy applies to all phases of the employment relationship, including but not limited to, hiring, promotion, performance appraisal, training, personal development and termination.

#### 2. Labor Standards

The Group cherishes human rights and prohibits any unethical hiring practices, including child and forced labor by conducting background checks (review the identity documents of the applicants) in its hiring process according to the "Recruitment Regulations", "Protection and Management of Child Labor and Minor Workers Regulations" and "Protection and Management of Female Workers Regulations". At the same time, the Group does not engage in forced labor practices such as debt

### 五、僱傭及勞工常規

本集團一直視員工為最寶貴的資產，對業務可持續和長遠發展起著關鍵作用。本集團致力打造非歧視、平等、和諧及安全的工作環境；並以互相尊重、與員工建立良好關係為目標；鼓勵員工創新、靈活和重視承諾，完成為客戶提供優質產品和服務的使命。為了實現這一點，本集團創造有利條件吸引、發展、挽留和獎勵人才；提供相稱的薪酬與福利、個人發展和職業發展培訓。此外，本集團非常關注員工的工作、生活和身心健康，通過定期組織文藝、工餘活動和培訓課程，豐富員工的生活，提升員工的技能和團隊凝聚力。本集團鼓勵員工建立融洽和諧的人際關係，提倡相互協作的團隊力量並發揚本集團多年來累積的團結精神；發揮集體的力量接受困難及迎接挑戰。

#### 1. 人才甄選

本集團主張平等機會及尊重個人私隱，並制定了相關政策，本集團均以品德、學識、能力及崗位要求為僱用標準，按照「公開、公正、平等、競爭、擇優」的原則，秉持「七分人品，三分才，為我所用是人才」的理念，為不同年齡、性別、性傾向、種族、婚姻狀況、信仰、政治派別的人士給予平等的僱用機會。此等政策適用於不同階段的僱傭關係，包括但不限於招聘、晉升、績效考核、培訓、個人發展及終止聘用。

#### 2. 勞工準則

本集團重視人權，保護勞工權益。本集團根據適用的勞動法律法規，嚴格禁止童工或強制勞工；並按照「招聘規程」、「童工、未成年工保護管理規定」及「女職工勞動保護管理規定」，在招聘的過程中進行背景審查（核對應聘者的身份證明資料），以防止有人以不合法的身份應聘。同時，本集團不使用抵債、契約束縛等方式強迫員工工作，不聘用非法勞工或參與人口販賣。員工的工作時間需符合當地有關勞動法

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relief, binding contract and so on, recruit illegal labor or participate in human trafficking. Employees' consent for working overtime is required to avoid forced overtime work, and the employees are compensated in accordance with the applicable labor laws and regulations. During the reporting period, the Group did not hire any applicant under the legal working age in order to comply with the local laws and regulations in respect of child and forced labor.

### 3. Compensation and Welfare

The Group attracts and retains outstanding talents with competitive remuneration packages; benchmarks up-to-date remuneration data in their industry and strives to establish a fair, reasonable and competitive remuneration scheme. According to the remuneration regulations, staff salaries level is decided based on one's knowledge, skills, experiences and education background relevant to their work requirements. In order to enhance quality of work and competency of employees, the Group follows the principle of "Equality, openness and transparency" to conduct periodic performance appraisal and fairly assess the level of awards, subsidies, bonuses, salaries increment, transfer of job position and/or promotion recommendations based on a number of criteria, including working experience, seniority, knowledge and skills, performance, contribution, etc.. Employee compensation varies among factories and offices situating by locations. The employee remuneration package includes salary, overtime subsidies, discretionary bonus, year-end bonus, and so on. Other benefits include birthday and festive gifts (Spring Festival, International Working Women's Day, Dragon Boat Festival, Mid-Autumn Festival, etc.), free body check-up, free shuttle bus service, accident insurance, telephone expenses, provision of staff quarters, etc.. Besides, the Group has also established technical research and development project bonuses, including project development and efficiency awards in order to inspire technical and research and development personnel to innovate actively, and encourage technological and product development.

Besides, in accordance with the local labor laws and social security laws and regulations, the Group provides social security benefits for all employees. The Group contributes to various social security scheme (endowment insurance, medical insurance, unemployment insurance, work injury insurance and maternity insurance) and housing provident fund for the employees in Mainland China, and contributes to the Mandatory Provident Fund Scheme

律法規，任何必要的加班安排必須獲得員工同意並自願配合，按照當地法律法規給予員工補償，以避免強迫性加班。於報告期內，本集團已遵守勞動法律法規，並沒有僱用低於法定工作年齡的童工或強制勞工。

### 3. 員工待遇

本集團以具有競爭優勢的薪酬吸引和保留高質素員工，對外收集行業勞工市場薪酬情況，力求建立公平、合理、極具競爭力的薪酬體系。根據薪酬管理規定，員工的薪酬是按照每個職位所要求的知識技能、經驗和教育程度等因素而釐定。為了提升員工的工作質素與辦事效率，本集團以「公平、公開、透明」的原則，定期為員工進行績效考核並按照多項標準，包括工作經驗、年資、知識技能、表現、貢獻等，使本集團能公平地評估給予員工的獎金、津貼、分紅、加薪幅度、調職及／或晉升建議。員工的待遇因不同地區的工廠和辦公室而有所不同。員工的基本待遇包括工資、加班費、獎金、年終獎金等。其他福利包括員工生日及節日福利品（春節、三八婦女節、端午節、中秋節等）、免費身體檢查、免費接送班車、意外保險、電話費、提供食宿等。此外，本集團還設立技術研發項目獎金，包括項目開發獎和項目效益獎，務求激發技術研發人員積極創新，促進科技發展及開發新產品。

此外，本集團按照當地的勞動法及社會保障的法律法規，為所有員工提供社會保險福利項目：為內地業務員工繳納各項社會保險費（養老保險、醫療保險、失業保險、工傷保險及生育保險）和住房公積金，以及為香港員工的強積金計劃供款。如解僱員工或因此而需要作出賠償，本集團亦會按照當地的法律法規處理。於報告期內，東莞廠房內部出現重大人事變動，

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for the employees in Hong Kong. The Group handles dismissal and compensation in accordance with the local laws and regulations. During the reporting period, there is significant personnel turnover in Dongguan plant, but the reason was not due to discontent in the employees' salary level or welfare. The Group has complied with the local labor laws to conduct exit procedures with the resigned employees. Due to severe violation of the Group's human resources management system by some of the resigned employees, the Human Resources Department has automatically terminated the labor contracts with such employees in accordance with the local labor laws. To this end, the Group suspended part of the production and business operation in Dongguan plant, and immediately assessed the impact of personnel turnover, tried its best to retain employees in critical job positions, and immediately recruited new hire to fill up the job vacancies from those resigned employees in order to resume the production and business operation of the plant as soon as possible. As of mid-September of 2019, a number of the resigned key personnel have agreed to withdraw their resignation, and therefore enabling the plant to resume fully in MLCC production.

The Group pays attention to its employees' health and encourages work-life balance. Employees' work hours are based on the local labor laws, and protects the employees' rights of rest days and holidays such as annual leave, sick leave, maternity leave, paternity leave, funeral leave in accordance with the local labor laws. Besides, the Group cares about its employees' physical and mental health, and sets up reading room. The Group also organizes leisure activities regularly or irregularly, including annual gathering, travelling, basketball, badminton and table tennis competitions, Mid-Autumn Festival gathering, etc. in order to enhance team cohesiveness and sense of belongings, and to reduce work pressure. The Group also has labor unions and employee representatives to conduct employee satisfaction surveys and carry out the respective remediation on a quarterly basis, and establish a mechanism for collecting feedback, complaints and appeals.

而此次人事變動並非因為員工的工資或福利所引起；對於提出請辭的員工，本集團已按照當地的勞動法為他們辦理離職手續；而部份離職員工因嚴重違反本集團的人事管理制度，人事部已按照當地的勞動法，自動解除該等員工的勞動合同。本集團為此暫停東莞廠房中部分運作及生產程序，即時評估人事變動為廠房帶來的影響，以最大努力留住部分重要崗位的員工，並即時進行招聘工作，填補該等離職員工的崗位，以儘快恢復廠房的生產及營運；截至二零一九年九月中，大部分已辭職之主要人員已同意撤銷請辭，而廠房亦全面恢復MLCC的生產。

本集團重視員工的健康，鼓勵他們維持工作與生活平衡，並依照當地的勞動法訂立員工工作時數及保障員工休息休假的權利，所有員工均享有休息日及法定假日（如年假、病假、婚假、產假、陪产假、喪假等）。此外，本集團亦非常關注員工的身心健康，於廠區內為員工提供閱覽室，還定期或不定期為員工組織活動，包括年度聚餐、旅遊、籃球比賽、羽毛球比賽、乒乓球比賽、中秋節聯歡會等，希望增強員工之間的凝聚力、提升員工的歸屬感和減輕員工的工作壓力。本集團還設有工會和員工代表，於每季度進行員工滿意度調查及作出相應的改進，並建立意見反映、投訴和申訴機制。



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### 4. Development and Training

The Group is fully aware that an excellent team is core to the competitiveness of the enterprise, and is critical to its sustainable and long-term business development. Therefore, the Group has always regarded talent training as one of its core tasks, and has established stringent recruitment system, standards and procedures; introduced competition mechanism, hoping to explore and cultivate professional talents, and to encourage employees to continue study and lifelong learning. Apart from aligning the Group's corporate business plan, the Group also hopes to enhance the quality, technical skills and knowledge of employees through continuous training, and build up positive work attitude among employees.

#### **Manufacture and Sale of MLCC Business**

New hires have to participate in induction training and must pass the assessment. The training topics include company overview, corporate culture, policies and procedures, quality awareness, production workflow and skills, systems knowledge, environmental protection and safety knowledge (please refer to "Health and Safety" below for details of occupational safety training). During the reporting period, the Group also organized various training programs related to business, finance, human resources, laws and regulations, information technology, systems, environmental protection, ethics and production to achieve the ultimate goal of nurturing talent. The training topics include daily work planning skills, risk control of MLCC transactions, maintenance and development of customer relationship, cost accounting and settlement, payroll calculation methods, social insurance and provident fund related knowledge, basic requirements of environmental protection laws and regulations on enterprise, customs laws and regulations, network information security, OA knowledge, ISO14001 and ISO45001 system knowledge, occupational health and safety management system knowledge, hazardous waste management, integrity agreements and self-discipline management methods, the operating principles and analysis methods of scanning electron microscope, the operating principles of variable frequency drive, printing skills for employees, and so on.

### 4. 發展及培訓

本集團充分意識到高質素團隊是企業的核心競爭力，對於本集團的持續及長遠發展起著重要的作用，故一直視人才培訓為本集團的核心任務之一，並通過嚴格的聘任制度、標準和程序，引入競爭機制，希望發掘和培養專業人才，以及鼓勵員工持續進修及終身學習。除了配合業務發展需要，本集團還希望通過持續培訓提升員工的質素、職業技能與知識，以及為員工建立正面的工作態度。

#### **製造與銷售MLCC業務**

新員工需接受職前培訓，內容包括公司概況、企業文化、以及規章制度、品質意識、生產流程及技術、各體系知識、環境保護、安全工作（安全工作培訓的詳細資料請參考下文「健康與安全」部分），他們需通過考核才能上任。於報告期內，本集團還組織了多項與業務、財務、人力資源、法律法規、資訊技術、管理體系、環境保護、道德及生產等方面的培訓課程，如日常工作統籌安排技巧、MLCC交易風險控制、客戶關係維護和開拓要點、成本核算及結算、工資核算方式、社會保險和公積金相關知識、環境保護法律法規對企業的基本要求、海關法律法規、網路資訊安全、OA知識、ISO 14001與ISO 45001體系知識、職業健康安全管理体系知識、危險廢物管理、誠信廉潔協議與廉潔自律管理辦法、掃描電鏡工作原理及分析方法、變頻器原理、印刷員工技能培訓等，本集團藉著不同範疇的培訓，希望能達到培育人才之最終目的。

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### Investment and Financial Services Business

The Group provides on-the-job training for its employees in Hong Kong. The Human Resources Department together with the supervisors of each department introduce the corporate culture, industry knowledge and job responsibilities to new staff. Management and professional staff (such as analyst, head of risk management, legal counsel) are required to participate in external and internal training held from time to time in the form of seminars. The trainings provided during the reporting period include enforcement actions and cross-border investigations by Securities and Futures Commission ("SFC"), compliance training, regulatory requirements of Cayman Islands & Chartered Institute of Management Accountants, SFC Codes and guidelines on business conduct for wealth management and brokerage firms, discretionary account managers and fund managers, and duties and responsibilities of responsible officers, directors and manager-in-charge under the Securities and Futures Ordinance and SFC guidelines.

### 5. Health and Safety

The Group pays attention to its employees' health and provides a safe work environment so as to prevent occupational hazards. To comply with the national "Production Safety Law" and other applicable laws and regulations, the Group establishes policies and procedures in production safety and sets up production safety committee and safety management organization structure. Supervisors and employees at all levels must clearly understand their own safety responsibilities and sign the respective safety responsibility statement, and strictly perform the requirements as stated on the safety responsibility statement.

Training topics are mainly related to workplace safety and occupational health. The Group sets annual safety training plan, develops monthly training plan with reference to the actual needs of each department, and reviews the implementation status and assess the safety risk from business change. These provide solid reliable base for developing the training plans in the coming years. The Group makes use of the comprehensive staff training plan and explains to their employees about the corporate development direction, with an aim to enhance

### 投資與金融服務業務

本集團為香港企業員工提供在職培訓，透過人事部和部門主管，讓新員工了解企業文化、行業知識、工作職責等。管理層及專業人員（如分析員、風險管理主管、法務顧問等）不時參加外部及內部培訓，此等培訓以講座形式進行，於本報告期內的培訓活動包括證券及期貨事務監察委員會（簡稱「證監會」）的執法行動和跨境調查、持牌人責任守則及指引、開曼群島和英國會計師（英文簡稱「CIMA」）的法規要求、證監會行為守則和準則（財富管理和經紀公司、客戶經理與基金經理）、負責人員、董事及主管經理於《證券及期貨條例》及證監會指引下的職責與責任等。

### 5. 健康和 safety

本集團一向著重員工健康及提供安全的工作環境，預防及避免員工受到職業性的危害。為了符合國家的《安全生產法》及其他相關的法律法規，本集團制定安全生產責任制度並建立安全生產委員會和安全管理組織架構；各級管理層和各員工必須清楚了解自身的安全職責，逐級簽訂安全生產責任書，並嚴格按安全生產責任書履行安全職責。

員工培訓、提供安全工作環境及職業健康有著密不可分的關係，本集團每年會編制年度安全培訓計劃，各部門結合實際情況，制定月度培訓計劃，並於年末審視計劃的實施情況及因業務變化帶來的安全風險，為來年的計劃提供可靠的依據。本集團透過全面的安全培訓計劃及向員工講解企業方針，提升員工的職業健康與安全意識，增強員工的自覺性及提高員工的專業技能，尤其是負責存在重大安全隱患崗位的員工，使員工能於安全的環境下履行職責；如

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the employees' awareness on safety and continuously strengthen their consciousness, improve their professional skills, especially those job positions with significant potential safety hazards, and enable them to perform their duties in a safe environment. Employees have to report to the management immediately when incident occurs or aware of any potential hazards. All new hires are required to complete the "3-Level safety training (i.e., company level, plant level and production line level) and must pass the assessment prior to putting into work. In order to reduce the possibility of incident from happening, and protect staff safety, they must join different practical knowledge and trainings and being assessed to make sure that they are able to master the production workflow and guidelines. During the reporting period, on top of the induction training to the new hires of the MLCC business, the Group organized various safety training programs, for example, hazard source identification and risk assessment control procedures, hazardous substance system training, production safety training, the use method of electrical and electronic tools, power outages emergency measures, safety factors identification training on blocking, burning and plating procedures, fire safety and chemical leakage handling training, safety training for drivers, fire emergency handling and use of fire extinguisher, use of labor protective supplies, occupational health and safety management system training, and so on. The Group also designs specific training for technical staff and site management staff such as safety management regulations on special work types in accordance with local labor laws and regulations. They must pass the assessment to ensure that they have the required knowledge and skills to perform their work.

Production Department is responsible for equipment safety inspection, and qualified external maintenance companies are engaged to conduct regular checkup. The Equipment Department posts warning sign at noticeable locations in the production workshops to remind employees of potential safety hazards (such as chemicals, electrical appliances, fire, vehicles, slipping and falling). Besides, the Group educates its employees to correctly use production equipment and installed facilities, fire prevention facilities, protective and first aids tools, etc.. In order to make sure that the equipment is in good condition and safe, the Equipment Department conducts monthly and quarterly safety inspection, formulates remediation plan and

發現事故及安全隱患，需立即向管理層報告。新入職員工與實習人員必須完成三級安全教育（即公司級、廠級及線組級），經考試合格後，才可上任工作；員工在職期間亦需定期接受各類型的實操訓練、知識培訓及考核，讓員工掌握生產部門的工作流程和指引，各項設施的操作技術，以減少事故發生的機會和保障員工安全。於報告期內，MLCC業務除了為新員工提供職前培訓外，本集團還組織了多項安全培訓工作，如危險源識別與風險評估控制程序、危害物質體系培訓、生產安全培訓、電工電子工具使用方法、停電應急預案、封端、燒端與端處安全點識別培訓、消防安全及化學品洩漏處理培訓、司機安全教育培訓、火災的應變措施及滅火器的使用、勞保用品防護使用、職業健康安全體系培訓等。本集團更為需要專門技術的員工或從事現場管理工作的員工，依照各地勞工條例，設計特殊工作培訓計劃，如特種作業安全管理規範等，以提升專業人員的知識和技能，員工需通過培訓考核，持証工作。

生產部門負責機器的安全檢查，並由符合資格的外部維修公司進行定期檢查。設備部於生產車間的當眼位置貼上安全隱患的警告標示，以提示員工工作場所的潛在危險（如化學、電器、火災、車輛、滑倒、絆倒和墜落的危險）。本集團還教育員工生產設備、安裝設備、消防設施、防護器材和急救工具的正確使用方法。設備部進行月度及季度安全檢查，為發現的安全隱患制定整改計劃及設定完成期限，並於安全生產例會中跟進有關整改工作，以保證設備處於良好及安全的生產狀態，例如：設備部於安全檢查中，發現應急燈光照明度不足，員工



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completion timeline for any safety hazards identified, and takes follow-up action in the regular production meeting. For example, Equipment Department discovered that the lighting level of emergency lights is inadequate during the safety inspection, employees may not evacuate and leave the incident site promptly, or fail to find the fire fighting equipment in time during the outbreak of fire. Equipment Department has assessed the problem and take follow-up actions. The Group provides employees with necessary protective supplies in accordance with the national requirements so that they can work under safe and hygienic conditions and to reduce the risk of work injury.

In order to monitor the harmful substance level, the Group employs external qualified company to make assessment of various occupational hazards existed in the workplace, and to conduct benzene, toluene, xylene, hydrogen chloride and hydrochloric acid, noise assessment and so on for special operations plants. All test results have met national hygiene standards. The Group installs exhaust fans in the plants and provides employees with protective tools such as masks, earplugs according to the characteristics of production process and actual needs; and demand the employees to use the tools appropriately following the requirement. Besides, the Group provides free health check every year to employees who have completed one year of service. In order to meet the Government's requirement for special positions, the Group arranges occupational health check for the related employees. These measures show our respects and care for the employees' health and occupational safety.

### Compliance

During the reporting period, the Group did not involve in any non-compliance incidents relating to employment, health and safety, and labor standards that have significant impact on the Group.

## VI. OPERATING PRACTICES

### 1. Supply Chain Management

The Group conveys its concern on environmental issues to its suppliers and business partners, and expects them to implement similar practices. The Group serves to maintain long-term, stable and strategic cooperative relationships with leading suppliers, and co-develops with them on the basis of equality and win-win situation. The Group has

可能因此無法快速疏散離開事故現場，或於火災時未能及時找到消防設備等，設備部已為此進行評估及跟進。本集團為員工提供符合國家規定的勞動安全衛生條件和必要的勞動保護用品，確保員工有足夠的防護措施下工作，減少工傷意外的發生。

為了掌握工作場所有害物質狀況，本集團委託外部符合資格的檢測公司對工作場所的職業病危害因素進行檢測，檢測內容包括針對特殊工種的車間進行苯、甲苯、二甲苯、氯化氫及鹽酸、噪音等，而檢測結果均符合國家衛生標準要求。本集團在車間設置排氣扇；按照生產特點和實際需要，為員工提供防護用品，如口罩、耳塞等，並督促員工需按規定使用。此外，本集團為所有入職滿一年的員工提供每年一次的免費健康檢查，而且按政府規例為特殊崗位的員工提供職業健康體檢，此等措施均顯示本集團重視員工的健康和職業安全。

### 合規性

於報告期內，本集團沒有涉及與僱傭、健康與安全及勞工準則相關並對本集團有重大影響的已確認違法、違規事件。

## 六、營運慣例

### 1. 供應鏈管理

本集團向供應商及業務夥伴傳達本集團對環保的重視、所採取的措施及期望，冀盼他們能配合本集團對社會履行的責任。本集團希望與有實力的供應商建立長期、穩定的戰略合作關係；與供應商在平等、雙贏的基礎上共同發展業務。本集團制定供應商開發管理控制程序，對供應

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established a supply chain management system to carry out initial, alternative and renewal evaluation of suppliers and materials. The Group regularly assesses the performance of existing suppliers, and revises the "Supplier List" based on the results of the assessment. The assessment criteria include the quality and delivery of raw materials, and the frequency of feedback on the supplied products and the suppliers' capability in handling the feedbacks. To select a new supplier, the Group considers the supplier's ability, their background, their qualifications and certifications obtained and our own suppliers' evaluation report. At the same time, the Group requests for samples from suppliers for trial over certain period of time, and selects and categorizes the best suppliers according to the result of the evaluation. To ensure that suppliers are competitive and that the goods and services provided to the Group are with high quality, the Group has strict requirements for division of labor in respect of contract signing as well as operation of inspection of received and supervision. The Group requires the suppliers of goods and services to possess recognized qualifications and good internal control system, provide quality stability, deliver on-time, comply with laws and regulations, and have the required professional skills and quality, and so on. The Group has established policies and procedures in supply chain management and provided various reporting channels for employees, suppliers, customers and other business partners to report any violations and suspected abuse of one's authority for own interest. Besides, the Group requires the suppliers to provide products, parts and materials, etc. that do not contain conflict minerals<sup>1</sup> from the Democratic Republic of the Congo and the neighboring countries and regions. The Group makes regular assessment on suppliers to ensure they follow the relevant principles, and obtains certification documents from them to ensure the supplied minerals are not sourced from the conflict regions. When conflict minerals exist in the supply chain, the Group re-assess the related suppliers and implements remedial measures immediately. During the reporting period, the Group did not have significant issues relating to violations in this respect.

Note:

<sup>1</sup> "Conflict minerals" mainly include tin, tantalum, tungsten and gold. They refer to minerals mined in situations of armed conflict and human rights violations, especially in the Democratic Republic of Congo ("DRC") and its neighboring countries and regions, and the resources from mines that are controlled by the DRC army and many other armed rebel groups. If the Group purchases the relevant minerals, it results in an unintentional support to the rebel groups or terrorist activities.

商、新物料的初選評估、備選和續用資格進行審核。本集團定期評審現有供應商的表現，並根據評審結果修訂「供應商名錄」，評審標準包括來料合格率、交貨及時率、對供應產品品質的反饋次數、處理反饋的能力等統計數據；未能達標的供應商會於「供應商名錄」被剔除；如不合格供應商能在合理期限內完成整改，可被重新覆核。於挑選新供應商時，本集團需依據供應商能力調查表、供應商基本情況一覽表、供應商資格認證報告及供應商評鑒表作決定；同時要求備選供應商提供試用產品，經過一段時間試用合格後，才選擇最優質的供應商合作，並對供應商進行評級認定。從簽訂合約到驗收的操作和監督皆有嚴密分工規定，務求物品和服務供應商具認可資格、有良好的內部管理制度、品質穩定、準時交貨、合法合規、具應有專業技術／質素等，確保供應商具競爭性和提供高質素的物品和服務。對於供應鏈系統管理，本集團設有嚴謹的程序，讓員工、供應商、客戶和本集團與業務有關人士舉報任何懷疑利用職務違法、違規的行為。此外，本集團要求供應商提供的產品、零部件、材料等不含衝突礦物<sup>1</sup>，定期審查供應商以確保他們均遵循有關方針，並取得供應商的證明文件以確保所提供的金屬不會來自衝突地區；當得知供應鏈中出現任何衝突礦物，本集團會立刻覆審有關供應商及採取補救措施。於報告期內，本集團並沒有收到任何有關供應鏈方面的嚴重違規報告。

附註：

<sup>1</sup> 「衝突礦物」主要包括錫、鉭、鎢及金四種金屬，是指在武裝衝突和侵犯人權的情況下所開採的礦物，特別是指在剛果民主共和國（簡稱「剛國」）及其周邊國家與地區，由剛國政府軍和其他許多武裝叛亂集團所控制的礦場所開採的資源；如果採購有關礦物，便無意中支援叛亂組織或恐怖分子活動。

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### 2. Product and Service Responsibility

#### *Manufacture and Sale of MLCC Business*

The Group's business objective is "leading technology and consumer first". The Group committed to provide good quality services to satisfy its consumers; to comply with laws and regulations; to produce environmental friendly products and to reduce its impact on the environment. The Group continuously makes substantial investment in technology research and development such as purchasing advanced equipment, hiring and developing technical and professional personnel, and working with world renowned enterprises in technical exchanges to produce high quality and safe products. The Group has established internal management procedures in respect of identification and confirmation of customers' requirements, product specification and contract signing in order to provide employees with clear guidelines and standards, to make sure that customers' requirements can be identified and assessed effectively, and to comply with the requirements of the related quality management system. Besides, the Group has established "Customers complaints handling procedures" to standardize the procedures for customer service personnel to handle customers' complaints. If customers find any product quality problem or the products failed to satisfy their requirements, they can report the case to our After-Sales Service Department and their complaints are handled through the customers complaints mechanism.

The Group has established "Process, product inspection and test control procedures" in accordance with the requirements of the IATF 16949:2016 and ISO 9001:2015/GB/T 19001-2016 quality management system, and regulates the employees starting from the pre-production procedures to the quality control and inspection procedures on finished products. The "Sampling procedure for finished products" sets out the specifications and standards for each test item (such as tensile, force, durability, adhesion, etc.) of the products to make sure that the test can be completed on time, and employees must record the relevant test results in detail. If abnormality on the product quality is found, the employees must handle it in accordance with the inspection procedures and "Sub-standard product control procedures".

### 2. 產品及服務責任

#### *製造與銷售MLCC業務*

本集團本著「科技領先，客戶至上」的經營宗旨滿足客戶的需求，以高服務質素贏得客戶；並遵守法律法規，生產環保產品，以減少對環境的影響。本集團一直投放資源於科技研發技術，如購買先進的儀器、聘請及培訓專業技術人員、進行國際性技術合作，務求提供優質及安全的產品。從識別與確認客戶的要求、訂明產品規格到簽定銷售合同，本集團已訂定內部管理程序，給予員工明確的指引和標準，確保能有效地識別及評審客戶要求，並符合品質管理體系的相關要求。此外，本集團制定「客訴應對處理規程」，規範客戶服務人員處理客戶投訴的程序，如果客戶發現產品質量出現問題或產品不符合其需求，客戶可透過售後服務及投訴機制處理。

對於產品品質的控制，本集團根據IATF 16949:2016及ISO 9001:2015/GB/T 19001-2016質量管理體系的要求，制定「過程、產品檢驗及試驗控制程序」，規範員工從各生產工序作業前準備到完成生產的品質控制與檢驗流程。「成品抽樣規程」則列明對產品各測試項目（如拉力、耐用度、附着力等）的規範與標準，務求各項試驗能按時完成，員工須詳細記錄有關測試結果。如發現產品品質出現異常情況，必須按照檢驗規程與「不合格品控制程序」處理。



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The Group introduces green measures starting from product research and development, pays close attention to updates in the regulations and instructions relating to hazardous substances, grasps the international environmental protection standards and requirements on products, selects environmental-friendly raw materials, uses environmental technologies so as to ensure that the products are up to the global environmental standards. The Group's products comply with the standards of "Restriction of Hazardous Substances" ("RoHs") and "Registration, Evaluation, Authorization, and Restriction of Chemicals" ("REACH") adopted by the European Union ("EU"). RoHs is directive on the restriction of the use of certain hazardous substances in electrical and electronic equipment. It restricts the concentrations of four hazardous substances including lead, cadmium, mercury and hexavalent chromium, and two flame retardants including polybrominated biphenyls and polybrominated diphenyl ethers in the electrical and electronic products. REACH is adopted to improve the protection of human health and the environment from the risks that can be posed by chemicals, while enhancing the competitiveness of the EU chemicals industry. The Group insists on green production and manufacturing environmentally friendly products and supporting the measures for protecting the environment.

The Group believes that employees' quality has positive impact on product quality, thereby regularly providing training courses relating to product quality, such as focus training on product quality, control of sub-standard products, basis of RoHs and REACH and green management and control of raw and auxiliary materials, work quality case analysis, quality consciousness, the methods of quality control on production process, etc. so as to ensure that the quality inspectors possess the latest skill and knowledge. The Group also hopes that employees can gain sense of satisfaction and accomplishment at work, seize every opportunity to improve the product quality with the Group together and move towards a higher quality goal.

Confidentiality is one of the Group's core values. We will handle customers' information diligently and confidentially. For any confidential information obtained through business relationships, all employees are strictly prohibited to disclose any information to third parties without proper authority unless there is a legal or professional right or duty to do so.

本集團從研發開始引入環保管理，密切關注危害物質指令和法規的更新，掌握國際的環保標準及對產品的規範，包括選擇環保原材料並採用環保技術，從而保證產品能符合全球環保要求。本集團的產品符合歐盟《關於限制在電子電氣設備中使用某些有害成分的指令》（英文簡稱為「RoHs」）和《關於化學品註冊、評估、許可和限制法案》（英文簡稱為「REACH」）的標準。RoHs是歐盟為了限制電機電子設備所使用之確定有害物質而發布的指令，它限制了鉛、鎘、汞、六價鉻四種危險物質與多溴聯苯、多溴聯苯醚兩種阻燃劑在電機電子產品中的濃度；而REACH用於保護人體健康和環境對化學品進行預防性的管理，以避免化學物質可能帶來的風險，這個歐盟法規亦能提高歐盟化學工業的競爭力。本集團將一如既往堅持環保生產模式，生產環保產品與支持環保措施。

本集團相信員工質素會對產品質量產生正面的影響，故定期為員工提供有關產品品質的培訓課程，培訓內容包括品質工作重點培訓、不合格品控制、RoHs與REACH基礎及原輔材料環保管控、工作品質典型案例分析、品質意識教育、如何做好生產過程品質控制培訓等，以確保品質管理員擁有最新的技術和知識。本集團亦希望員工於工作上獲得滿足感和成就感之餘，能與本集團共同把握每次改良產品品質的機會，向更高品質的目標邁進。

保密是本集團的核心價值之一，本集團嚴謹及機密處理客戶資料；所有透過業務關係獲取的機密資料，除非是擁有法律或專業權限或職責需要，在未獲得適當授權下，員工不得向第三方披露任何資料。

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### *Investment and Financial Services Business*

The Group has been dedicated in providing high quality and professional services with the highest degree of integrity to its clients at competitive rates, and always seeks to exceed its clients' expectation. In terms of sustainability, client satisfaction is vital to the growth of the Group in the future. The Group summarizes below its approaches in its operations in achieving this aim: –

#### (1) *Licences and Regulations*

The Group has a team of financial specialists, who hold necessary SFC licences required by the existing laws and regulations, dedicated to providing quality professional investment advisory and asset management services over a wide range of financial products. To avoid any doubt on their professional qualification, they are mandated to undertake sufficient hours of continuous professional training per calendar year for each of the regulated activity. The Group also holds the money lending licence and provide service to its clients following the applicable laws and regulations.

#### (2) *Know Your Client*

In order to provide the best services to the clients and to build up trust, the Group conducts "know your client" background review prior to account opening for new clients. The Group must understand their identity, qualification of professional investors, investment objectives and experience, financial situation, etc. and obtain relevant documents as proof and for keeping record. Each client must be approved in writing by the responsible officer to ensure there is sufficient written information in file. The Group reviews and updates the client profiles periodically.

#### (3) *Customer Data Protection and Privacy Policies*

The Group handles the client personal data carefully with integrity and in accordance with applicable laws and internal compliance manual. All employees must keep client personal data confidential and securely. The Group has to inform clients about the purpose of collecting their personal data and to whom their data will be transferred to (if the recipient is within the Company). All client personal data collected can only be used for the sole purpose as

### *投資與金融服務業務*

本集團深明令客戶滿意是未來業務持續增長的關鍵，故一直以誠信的態度，為客戶提供高質素和專業的服務，並追求卓越，努力超越客戶的期望。為了實現此目標，本集團於營運中採取以下措施：–

#### (1) *牌照和註冊*

本集團建立了一支金融專才團隊，他們均持有在現行法例及法規要求下，由證券及期貨事務監察委員會所發出的牌照，並致力於為客戶提供高質素、專業的金融產品投資諮詢和資產管理服務。為保持團隊成員的合適性，針對每個受規管的服務，相關員工每年必須參加一定時數的專業訓練。本集團亦具有持牌放債人的資格，合法和合規地為客戶提供服務。

#### (2) *認識你的客戶*

對於金融服務業務，為了提供最佳的服務和建立客戶信心，新客戶開立賬戶前，本集團會進行「認識你的客戶」的背景調查，以確認其身份、專業投資者的資格、投資目標、投資經驗、財務狀況等相關資料，並索取相關證明以便識別並妥善保存記錄；每位客戶必須得到負責人員的書面批准，以確保有足夠的書面文件存檔。本集團還會定期審查和更新客戶資料。

#### (3) *保護客戶資料和隱私權的原則*

本集團根據法律法規及內部合規手冊，以誠信和謹慎的態度處理客戶的個人資料，所有員工處理客戶的個人資料時，必須符合保密的要求並妥善存檔。客戶必須已獲通知其個人資料之用途及資料將轉交予何人（如與公司有關的人士）。收集到的客戶個人資料只可使用於根據其收集目的用途上，如客戶資料需向其他人士披露，應「基於業務需求」的基礎上進行內部交

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agreed in advance, and shall be exchanged internally and exclusively on a “business need-to-know” basis. The Group has to obtain the client’s consent prior to disclosing such information to other parties whenever necessary. The compliance officer is responsible for monitoring data protection practices and carries out periodic review of the personal data systems.

#### (4) Customer Complaints

The Group established its policies and procedures in handling client complaints. All complaints must be investigated and responded promptly by a person who is not the subject of the complaints. Where a complaint cannot be remedied promptly, the Group has to inform the client of further applicable actions that they may take under the regulatory system. The Group has maintained proper record, and will cooperate with the regulators in the event of any query, investigation or audit.

#### (5) Integrity

To ensure that the Group’s business can have sustainable growth, the Group demands all employees to conduct businesses with integrity and to comply with laws and regulations, and upholds its core values. All staff members, including directors, management and general staff are required to adhere to the internal Code of Conducts (the “Code”). In case of conflict between the Code and the laws and regulations from the regulators, the stricter of the two must be followed to an extent that the local laws and regulations are not violated.

### Compliance

During the reporting period, there was no violation or non-compliance incident relating to product and service responsibility that had significant impact on the Group. The investment and financial services business engaged an independent external consultancy firm to conduct compliance review and the result is satisfactory.

換，並須事先獲得客戶本人同意。合規主任負責監督保護資料措施，並定期審查個人資料系統。

#### (4) 客戶投訴

本集團訂定了政策和程序，以處理客戶的投訴。所有投訴都必須由不屬於投訴對象的人員進行調查和及時回覆。如果投訴不能及時得到糾正，本集團必須告知客戶在監管體系下可採取的進一步行動。本集團妥善保存相關的記錄，並在發生任何詢問、調查或審計時，必須與監管機構全力合作。

#### (5) 誠信

為確保業務可持續增長，本集團要求各員工必需擁有誠信，以及遵從法律、法規行事及支持彼此認同的價值。所有員工（包括董事、管理層及各級成員等）都必須遵守內部守則。如果守則的內容與監管機構制定的法律和法規存在任何衝突，員工必須遵守兩者之間較嚴謹的要求，確保沒有違反當地的法律法規。

### 合規性

於報告期內，本集團沒有涉及與產品及服務責任相關並對本集團有重大影響之已確認違法、違規事件。而投資與金融服務業務更委託獨立第三方合規顧問公司進行獨立合規稽核，報告結果為符合合規要求。



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### 3. Anti-corruption

Maintaining an ethical working environment is one of the Group's core values. The Group has adopted a zero-tolerance approach for all kinds of corruption, bribery and extortion situation, and hence the Group has established "Integrity and self-discipline management measures" to strictly regulate the behavior of employees, and demand all employees to build a habit of strict compliance with policies and procedures. In order to protect the Group's interests, some employees (such as Sales Department, Procurement Department, etc.) are required to sign "Integrity and self-discipline agreement" to prevent bribery. The Group brings the disciplinary monitoring work in the production and business process. The plants in Mainland China has established "Integrity and self-discipline monitoring committee" which comprises of General Manager, Deputy General Manager, Head of Internal Risk Control Department and head of each department as members. The Group has set up channels such as hotline and email for reporting suspected cases of obtaining personal interests in carrying out one's job duties, bribes, extortion, fraud, money laundering in breach of policies, regulations and laws. Internal Risk Control Department is responsible for receiving, investigating and assessing the reported cases. Offenders who request and accept bribes, obtain other illegal interests are heavily penalized, including disciplinary actions, fines and dismissal.

Regarding the investment and financial services business, to comply with the "Prevention of Bribery Ordinance" enforced by the Hong Kong Independent Commission Against Corruption and to protect the interests of all stakeholders, employees have to process each of the transactions following or policies and procedures, including the verification of clients' identities, assessment of the clients' honesty, integrity, commercial ability and creditworthiness; and to keep documents and records properly. To avoid dealing with potential money launderers, terrorist financiers or to handle funds derived from any criminal activity, the Group refuses the operation of any accounts for anonymous clients or in obviously fictitious

### 3. 反貪污

維持高道德標準的工作環境是本集團的核心價值之一，本集團對各種貪污、賄賂及勒索情況採取零容忍態度，因此制定了「廉潔自律管理辦法」，嚴格規範員工的行為，並要求所有員工養成遵守規章制度的習慣。為了保障本集團的整體利益，部分員工（如銷售部、採購部等）更需要簽訂「廉潔自律協議書」，以杜絕一切行賄受賄行為。本集團將紀律檢查與監察工作深入到生產和經營過程中，內地廠房已成立「廉潔自律監督委員會」，由總經理、副總經理、內審風險控制部門負責人及各部門主管組成，並設置舉報電話和電子郵箱，舉報利用職務謀取個人私利、賄賂、勒索、欺詐及洗黑錢等違紀、違規或違法的個案，內審風險控制部門負責受理、調查及審理有關舉報，對於索取、收受賄賂、獲得其他非法利益等行為訂明嚴厲的罰則，包括紀律處分、罰款、辭退等。

對於投資與金融服務業務，為了遵守香港廉政公署所執行的《防止賄賂條例》，以及保障各持份者的利益，員工對每宗交易均需嚴格遵守規章制度，包括驗證客戶身份，評估客戶誠信和信譽，並妥善保存記錄。為了避免參與潛在的洗黑錢活動、協助恐怖分子融資或處理任何來自犯罪活動的資金，本集團拒絕為客戶開立匿名或虛假帳戶。本集團設有合規主任，負責監察、防止及處理反洗黑錢和反恐怖主義融資的相關事宜，如遇可疑交易時，必須立即進行內部通報，並進行徹底調查，直至釋除所有疑慮為止。本集團對員工的誠信有嚴格的要求，

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names. Employees are required to bring any suspicious transaction to the urgent attention of compliance officer for review. The compliance officer shall conduct a thorough investigation and determine whether suspicion remains. The Group has strict requirements on the integrity of our employees, and provides appropriate training for employees to understand internal rules and regulations, to fully grasp the new and emerging techniques used by criminals for money laundering and terrorist financing transactions, and to remind them of their own responsibilities.

During the reporting period, the Group or employees of the Group were not involved in any litigation cases of corruptions.

### VII. COMMUNITY INVESTMENT

The Group understands that everyone has a lot of responsibilities, it has to be responsible to itself, family, corporate and the society. The Group pays attention to its corporate image and fulfills its social responsibility by actively contributing to the society. Ever since its establishment, the Group is responsible taxpayer and offers job opportunities to local people. The Group establishes retirement plan for employees, pays the “five insurances and housing provident fund” for employees in Mainland China and “mandatory provident fund” for employees in Hong Kong. The Group runs its business following good practices; actively promotes green energy-saving and environmental friendly concepts; and sets up a role model for the industry. To some certain extent, the Group has contributed to social stability and building a harmonious community. During the reporting period, the Group donated money to an elderly foundation in Dongguan.

為員工提供適當培訓，使他們了解內部的廉潔自律規章制度，能夠充分掌握新興的罪犯常用的洗黑錢和恐怖分子融資交易的技巧，並提醒他們自身的責任。

於報告期內，並沒有涉及指控本集團或本集團員工貪污的訴訟案件。

### 七、社區投資

本集團深明責任無處不在，應當對自己負責、對家人負責、對企業負責、對社會負責，重視企業形象與社會責任，積極追求回饋及貢獻社會。本集團向來依法經營納稅，不遺餘力地協助解決當地的就業壓力。本集團為員工好好計劃退休生活作準備，為國內業務員工繳納五險一金，香港業務員工參加強積金計劃。本集團一直保持良好的生產經營、積極推行綠色環保理念及營造良好的發展秩序，在保持社會穩定及建設和諧社區方面，有一定的貢獻。於報告期內，本集團捐款予東莞市的一個敬老基金會。

# Environmental, Social and Governance Report

## 環境、社會及管治報告

### VIII. CERTIFICATIONS

During 2019, the Group was awarded the following certifications:

深圳市宇陽科技發展有限公司<sup>1</sup>及深圳市宇陽科技發展有限公司東莞分公司<sup>1</sup>

- “QC080000:2017 Hazardous Substance Process Management System” Certification
- “GB/T 24001-2016/ISO 14001:2015 Environmental Management System” Certification
- “ISO:9001:2015/GB/T 19001-2016 Quality Management System” Certification
- “ISO:45001:2018 Occupational Health and Safety Management System” Certification
- “IATF 16949:2016 Quality Management System” Certification

#### Anhui Jineyang Electronic Technology Co., Ltd.

- “GB/T 28001-2011/OHSAS 18001:2007 Occupational Health and Safety Management System” Certification
- “GB/T 24001-2016/ISO 14001:2015 Environmental Management System” Certification
- “ISO:9001:2015/GB/T 19001-2016 Quality Management System” Certification
- “IATF 16949:2016 Quality Management System” Certification

Note:

<sup>1</sup> No official company name in English.

### 八、 認證

於二零一九年度，本集團獲取之認證如下：

深圳市宇陽科技發展有限公司及深圳市宇陽科技發展有限公司東莞分公司

- 「GB/T 24001-2016/ISO 14001:2015 環境管理體系」認證
- 「ISO:9001:2015/GB/T 19001-2016 質量管理體系」認證
- 「IATF 16949:2016 質量管理體系」認證
- 「QC080000:2017 有害物質過程管理體系」認證
- 「ISO:45001:2018 職業健康安全體系」認證

#### 安徽金宇陽電子科技有限公司

- 「GB/T 24001-2016/ISO 14001:2015 環境管理體系」認證
- 「ISO:9001:2015/GB/T 19001-2016 質量管理體系」認證
- 「IATF 16949:2016 質量管理體系」認證
- 「GB/T 28001-2011/OHSAS 18001:2007 職業健康安全管理體系」認證



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## 環境、社會及管治報告

### IX. VISION OUTLOOK

As a good corporate citizen, the Group strives to strike a balance between achieving the corporate economic goals and business objectives, and to fulfill their social responsibility. The Group will continue to evaluate its performance in environmental protection, employee care, product and service quality and community investment and to build edge for the sustainable development of the Group.

The Group will endeavor to comply with the stringent laws and regulations of environmental protection, allocate resources and undertake various environmental improvement projects, including improving exhaust air, sewage and waste treatment facilities. The Group will also actively participate in various environmental protection and pollution prevention training activities to be organized by Ministry of Ecology and Environment. The Group will also put employee satisfaction and production safety as its top priority, and aim at attracting more talents through providing a safe workplace and competitive remuneration scheme. As for product and service quality, the Group will continue to invest resources for further improvement to its products and services so as to fulfill the environmental standards and to meet the customers' needs respectively. At the same time, the Group will be committed to fulfilling its social responsibility by actively participating in charitable activities and promoting the community's sustainable development.

The Group adheres to its objective of "leading technology and consumer first" and "striving to achieve continuous innovation and pursuance of world-class quality standard", and satisfying customers' needs will continue to be the greatest motivation in corporate development. The Group will make full use of its advantage in product design, and production process development and facilities to create a world-renowned brand. The Group serves to enhance its business performance through implementation of sustainable development strategies and to generate more meaningful long-term value for the enterprise and its stakeholders.

### 九、 未來願景

作為良好的企業公民，本集團希望平衡實踐企業的經營宗旨和業務目標，以及履行社會責任。本集團將繼續關注在環境保護、員工關懷、產品與服務質量和社區貢獻等層面的表現，以締造可持續發展的新優勢。

本集團將堅持遵守日益嚴謹的環保法律法規，投入資源優化處理廢氣、廢水、固體廢物等設施，並且積極參與各種由政府生態環境局組織的環境及污染防治培訓。本集團亦會以滿足員工及生產安全為前提，提供安全的工作環境，並以有競爭力的薪酬機制，吸納更多技術型和管理方面的優秀人才。在產品與服務質量和客戶服務方面，本集團將不斷投放資源，使產品更符合環保要求，使服務更能滿足客戶的需求。同時，本集團將堅守承擔社會責任的初心，積極參與公益事業，努力推動社區可持續發展。

本集團秉承「科技領先、客戶至上」的宗旨及「努力實現持續創新、追求國際一流品質」的品質標準，繼續以滿足客戶需求作為企業發展的最大動力，充分發揮產品設計、工藝研發和硬體設施的優勢，在更廣闊的領域內締造世界知名元器件品牌，並希望透過實踐可持續發展策略，提升業務表現，為企業及持份者創造更多的長遠價值。

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## 環境、社會及管治報告

### X. ENVIRONMENTAL PERFORMANCE DATA SUMMARY

### 十、環境數據表現摘要

		Unit 單位	MLCC Business MLCC業務		Investment, Financial Services and Trading Business 投資與金融服務業務		Total 合計	
			2019	2018 <sup>5</sup>	2019	2018	2019	2018 <sup>5</sup>
			2019年度	2018年度 <sup>5</sup>	2019年度	2018年度	2019年度	2018年度 <sup>5</sup>
Greenhouse Gas ("GHG") Emission	溫室氣體							
Scope 1 <sup>1</sup> :	範圍一:							
Total	總量	Tonnes 噸	109.74	85.64	-	-	109.74	85.64
Intensity <sup>3</sup>	密度 <sup>3</sup>	Tonnes 噸	7.85	5.22	-	-	N/A 不適用	N/A 不適用
Scope 2 <sup>2</sup> :	範圍二:							
Total	總量	Tonnes 噸	21,728.60	28,188.87	20.21	22.01	21,748.81	28,210.88
Intensity <sup>3,4</sup>	密度 <sup>3,4</sup>	Tonnes 噸	1,553.66	1,717.12	0.65	0.53	N/A 不適用	N/A 不適用
Air Emissions	廢氣總排放量							
Nitrogen Oxides	氮氧化物	Kilograms 公斤	139.24	64.33	-	-	139.24	64.33
Sulfur oxide	硫氧化物	Kilograms 公斤	0.60	0.47	-	-	0.60	0.47
Particles	顆粒物	Kilograms 公斤	12.95	5.88	-	-	12.95	5.88
Hazardous Wastes	有害廢物:							
Solid Wastes Generated:	固體廢物:							
Total	總量	Tonnes 噸	171.18	150.73	-	-	171.18	150.73
Intensity <sup>3</sup>	密度 <sup>3</sup>	Tonnes 噸	12.24	9.18	-	-	N/A 不適用	N/A 不適用
Sewage Discharged:	廢水:							
Total	總量	Tonnes 噸	101.23	108.84	-	-	101.23	108.84
Intensity <sup>3</sup>	密度 <sup>3</sup>	Tonnes 噸	7.24	6.63	-	-	N/A 不適用	N/A 不適用
Non-hazardous Wastes	無害廢物:							
Solid Wastes Generated:	固體廢物:							
Total	總量	Tonnes 噸	505.22	503.28	-	-	505.22	503.28
Intensity <sup>3</sup>	密度 <sup>3</sup>	Tonnes 噸	36.12	30.66	-	-	N/A 不適用	N/A 不適用
Sewage Discharged:	廢水:							
Total	總量	Tonnes 噸	136,631.95	320,544.70	-	-	136,631.95	320,544.70
Intensity <sup>3</sup>	密度 <sup>3</sup>	Tonnes 噸	9,769.56	19,525.91	-	-	N/A 不適用	N/A 不適用
Packaging Materials Used for Finished Goods	製成品所用包裝材料							
Total	總量	Tonnes 噸	172.59	137.99	-	-	172.59	137.99
Intensity <sup>3</sup>	密度 <sup>3</sup>	Tonnes 噸	12.34	8.41	-	-	N/A 不適用	N/A 不適用

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	Unit 單位	MLCC Business MLCC業務		Investment, Financial Services and Trading Business 投資與金融服務業務		Total 合計		
		2019	2018 <sup>5</sup>	2019	2018	2019	2018 <sup>5</sup>	
		2019年度	2018年度 <sup>5</sup>	2019年度	2018年度	2019年度	2018年度 <sup>5</sup>	
Energy and Water Consumptions	能源及水資源消耗量							
Electricity:	電力:							
Total	總量	MWh 兆瓦時	26,482.80	33,370.47	25.26	27.87	26,508.06	33,398.34
Intensity <sup>3,4</sup>	密度 <sup>3,4</sup>	MWh 兆瓦時	1,893.59	2,032.76	0.81	0.67	N/A 不適用	N/A 不適用
Gasoline:	汽油:							
Total	總量	Liters 公升	34,468.68	27,906.41	-	-	34,468.68	27,906.41
Intensity <sup>3</sup>	密度 <sup>3</sup>	Liters 公升	2,464.61	1,699.91	-	-	N/A 不適用	N/A 不適用
Diesel:	柴油:							
Total	總量	Liters 公升	5,921.00	3,633.50	-	-	5,921.00	3,633.50
Intensity <sup>3</sup>	密度 <sup>3</sup>	Liters 公升	423.37	221.33	-	-	N/A 不適用	N/A 不適用
Water:	水資源:							
Total	總量	Cubic meters 立方米	136,631.95	372,917.20	-	-	136,631.95	372,917.20
Intensity <sup>3</sup>	密度 <sup>3</sup>	Cubic meters 立方米	9,769.56	22,716.17	-	-	N/A 不適用	N/A 不適用

### Notes :

- Scope 1 refers to the Group's business direct GHG emission, including combustion of gasoline and diesel.
- Scope 2 refers to the Group's business indirect GHG emissions, including consumption of purchased electricity.
- Due to the differences on the types, production time and production costs of the MLCC manufactured by the Group, the Group has converted the production level into product volume as the calculation basis of intensity. The intensity of various environmental performance data (emissions/production/consumption) of the Group's MLCC business is measured in one cubic meter of MLCC produced.  
  
The intensity of the previous year was calculated based on the equivalent production units rather than the product volume; in order to enhance the comparability of the data, the Group used the product volume to restate the intensity of emission of the previous year.
- The emission intensity of investment and financial services business is based on the number of employees.
- Last year's comparative figures are restated to conform with the current year's presentation.

### 附註 :

- 範圍一是指本集團業務直接產生的溫室氣體排放，包括燃燒汽油及柴油。
- 範圍二是指本集團業務內部消耗購回來的電力所引致的「間接能源」溫室氣體排放。
- 由於本集團所製造的MLCC產品的種類、生產時間、生產成本等有所不同，因此本集團將生產量折合成產品的體積，作為計算密度的基數，MLCC業務的各項環境數據表現排放量／產生量／消耗量的密度是以每生產一立方米的MLCC產品單位計算。  
  
上年度的密度是按照約當產量，而非產品的體積計算；為了提高數據的可比性，本集團使用產品的體積重列上年度排放量密度的數據。
- 投資與金融服務業務的密度以每位員工計算。
- 上年度部分數據需重列作為比較用途。



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## 環境、社會及管治報告

### XI. "ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORTING GUIDE" BY THE STOCK EXCHANGE OF HONG KONG LIMITED

十一、香港聯合交易所有限公司的《環境、社會及管治報告指引》

General Disclosure/ Key Performance Indicators ("KPIs") 一般披露／關鍵績效指標	Reporting Guideline 報告指引	Page 頁數
<b>A. Environmental</b> A. 環境		
<b>Aspect A1</b> 層面A1	<b>Emissions</b> 排放物	
General Disclosure 一般披露	Information on: 有關廢氣及溫室氣體排放、向水及土地的排污、有害及無害廢棄物的產生等的： (a) the policies; and 政策；及 (b) compliance with relevant laws and regulations that have a significant impact on the issuer 遵守對發行人有重大影響的相關法律及規例的資料。 relating to air and greenhouse gas emissions, discharges into water and land, and generation of hazardous and non-hazardous waste.	7-19
KPI A1.1 關鍵績效指標A1.1	The types of emissions and respective emissions data. 排放物種類及相關排放數據。	7-19, 42
KPI A1.2 關鍵績效指標A1.2	Greenhouse gas emissions in total (in tonnes) and, where appropriate, intensity (e.g. per unit of production volume, per facility). 溫室氣體總排放量（以噸計算）及（如適用）密度（如以每產量單位、每項設施計算）。	20-23, 42
KPI A1.3 關鍵績效指標A1.3	Total hazardous waste produced (in tonnes) and, where appropriate, intensity (e.g. per unit of production volume, per facility). 所產生有害廢棄物總量（以噸計算）及（如適用）密度（如以每產量單位、每項設施計算）。	14-15, 17-18, 42
KPI A1.4 關鍵績效指標A1.4	Total non-hazardous waste produced (in tonnes) and, where appropriate, intensity (e.g. per unit of production volume, per facility). 所產生無害廢棄物總量（以噸計算）及（如適用）密度（如以每產量單位、每項設施計算）。	14-15, 17-18, 42
KPI A1.5 關鍵績效指標A1.5	Description of measures to mitigate emissions and results achieved. 描述減低排放量的措施及所得成果。	7-19

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General Disclosure/ Key Performance Indicators ("KPIs") 一般披露／關鍵績效指標	Reporting Guideline 報告指引	Page 頁數
KPI A1.6 關鍵績效指標A1.6	Description of how hazardous and non-hazardous wastes are handled, reduction initiatives and results achieved. 描述處理有害及無害廢棄物的方法、減低產生量的措施及所得成果。	11-18
<b>Aspect A2 層面A2</b>	<b>Use of Resources 資源使用</b>	
General Disclosure 一般披露	Policies on the efficient use of resources, including energy, water and other raw materials. 有效使用資源（包括能源、水及其他原材料）的政策。	19-25
KPI A2.1 關鍵績效指標A2.1	Direct and/or indirect energy consumption by type (e.g. electricity, gas or oil) in total (MWh) and intensity (e.g. per unit of production volume, per facility) 按類型劃分的直接及／或間接能源（如電、氣或油）總耗量（以千個千瓦時計算）及密度（如以每產量單位、每項設施計算）。	20-23, 43
KPI A2.2 關鍵績效指標A2.2	Water consumption in total and intensity (e.g. per unit of production volume, per facility). 總耗水量及密度（如以每產量單位、每項設施計算）。	24, 43
KPI A2.3 關鍵績效指標A2.3	Description of energy use efficiency initiatives and results achieved. 描述能源使用效益計劃及所得成果。	20-22
KPI A2.4 關鍵績效指標A2.4	Description of whether there is any issue in sourcing water that is fit for purpose, water efficiency initiatives and results achieved. 描述求取適用水源上可有任何問題，以及提升用水效益計劃及所得成果。	23-24
KPI A2.5 關鍵績效指標A2.5	Total packaging material used for finished products (in tonnes) and, if applicable, with reference to per unit produced. 製成品所用包裝材料的總量（以噸計算）及（如適用）每生產單位估量。	42
<b>Aspect A3 層面A3</b>	<b>The Environment and Natural Resources 環境及天然資源</b>	
General Disclosure 一般披露	Policies on minimizing the issuer's significant impact on the environment and natural resources 減低發行人對環境及天然資源造成重大影響的政策。	25
KPI A3.1 關鍵績效指標A3.1	Description of the significant impacts of activities on the environment and natural resources and the actions taken to manage them. 描述業務活動對環境及天然資源的重大影響及已採取管理有關影響的行動。	25

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General Disclosure/ Key Performance Indicators ("KPIs") 一般披露／關鍵績效指標	Reporting Guideline 報告指引	Page 頁數
<b>B. Social<sup>1</sup></b> B. 社會 <sup>1</sup>		
<b>Aspect B1</b> 層面B1	<b>Employment and Labor Practices</b> 僱傭	
General Disclosure 一般披露	Information on: 有關薪酬及解僱、招聘及晉升、工作時數、假期、平等機會、多元化、反歧視以及其他待遇及福利的： (a) the policies; and 政策；及 (b) compliance with relevant laws and regulations that have a significant impact on the issuer 遵守對發行人有重大影響的相關法律及規例的資料。 relating to compensation and dismissal, recruitment and promotion, working hours, rest periods, equal opportunity, diversity, anti-discrimination, and other benefits and welfare.	27-28
<b>Aspect B2</b> 層面B2	<b>Health and Safety</b> 健康與安全	
General Disclosure 一般披露	Information on: 有關提供安全工作環境及保障僱員避免職業性危害的： (a) the policies; and 政策；及 (b) compliance with relevant laws and regulations that have a significant impact on the issuer 遵守對發行人有重大影響的相關法律及規例的資料。 relating to providing a safe working environment and protecting employees from occupational hazards.	30-32
<b>Aspect B3</b> 層面B3	<b>Development and Training</b> 發展及培訓	
General Disclosure 一般披露	Policies on improving employees' knowledge and skills for discharging duties at work. Description of training activities. 有關提升僱員履行工作職責的知識及技能的政策。描述培訓活動。	29-30

Note:

<sup>1</sup> Pursuant to Appendix 27 of the "Main Board Listing Rules", the KPIs under Area B "Social" are recommended disclosures only. Therefore, the Group chose not to disclose those KPIs in this report.

附註：

<sup>1</sup> 由於《主板上市規則》附錄27有關「主要範疇B.社會」的關鍵績效指標只屬建議披露條文，因此本集團選擇不披露該等關鍵績效指標。



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General Disclosure/ Key Performance Indicators ("KPIs") 一般披露／關鍵績效指標	Reporting Guideline 報告指引	Page 頁數
<b>Aspect B4</b> 層面B4	<b>Labor Standards</b> 勞工準則	
General Disclosure 一般披露	Information on: 有關防止童工或強制勞工的： (a) the policies; and 政策；及 (b) compliance with relevant laws and regulations that have a significant impact on the issuer 遵守對發行人有重大影響的相關法律及規例的資料。 relating to preventing child and forced labor.	26-27
<b>Aspect B5</b> 層面B5	<b>Supply Chain Management</b> 供應鏈管理	
General Disclosure 一般披露	Policies on managing environmental and social risks of the supply chain. 管理供應鏈的環境及社會風險政策。	32-33
<b>Aspect B6</b> 層面B6	<b>Product Responsibility</b> 產品責任	
General Disclosure 一般披露	Information on: 有關所提供產品和服務的健康與安全、廣告、標籤及私隱事宜以及補救方法的： (a) the policies; and 政策；及 (b) compliance with relevant laws and regulations that have a significant impact on the issuer 遵守對發行人有重大影響的相關法律及規例的資料。 relating to health and safety, advertising, labelling and privacy matters relating to products and services provided and methods of redress.	34-37

# Environmental, Social and Governance Report

## 環境、社會及管治報告

General Disclosure/ Key Performance Indicators ("KPIs") 一般披露／關鍵績效指標	Reporting Guideline 報告指引	Page 頁數
<b>Aspect B7</b> <b>層面B7</b>		
General Disclosure 一般披露	<b>Anti-corruption</b> <b>反貪污</b>  Information on: 有關防止賄賂、勒索、欺詐及洗黑錢的： <ul style="list-style-type: none"> <li>(a) the policies; and 政策；及</li> <li>(b) compliance with relevant laws and regulations that have a significant impact on the issuer 遵守對發行人有重大影響的相關法律及規例的資料。 relating to bribery, extortion, fraud and money laundering.</li> </ul>	38-39
<b>Aspect B8</b> <b>層面B8</b>		
General Disclosure 一般披露	<b>Community Investment</b> <b>社區投資</b>  Policies on community engagement to understand the needs of the communities where the issuer operates and to ensure its activities take into consideration the communities' interests. 有關以社區參與來了解營運所在社區需要和確保其業務活動會考慮社區利益的政策。	39

